

# **Leveraging Deep Reinforcement Learning and Braid Representations to Explore Knot Theory**

**BYU SRC Conference**

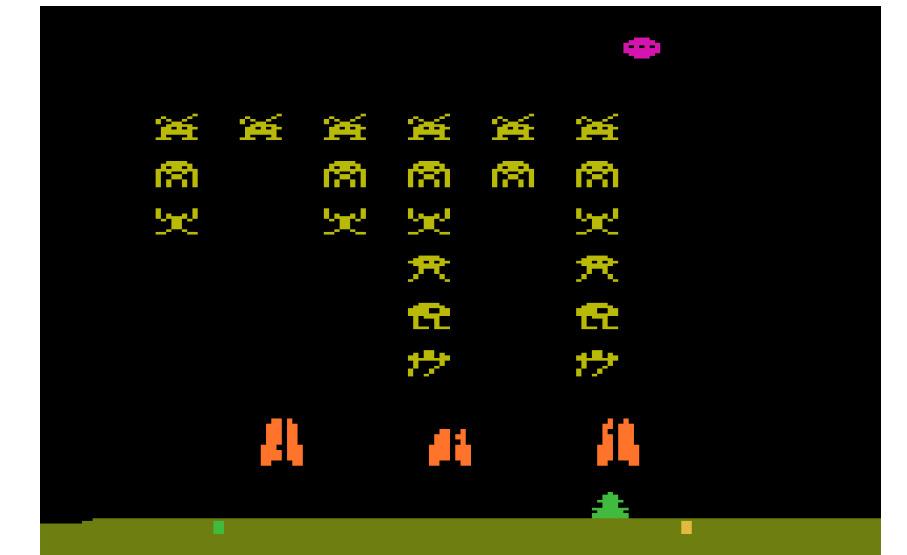
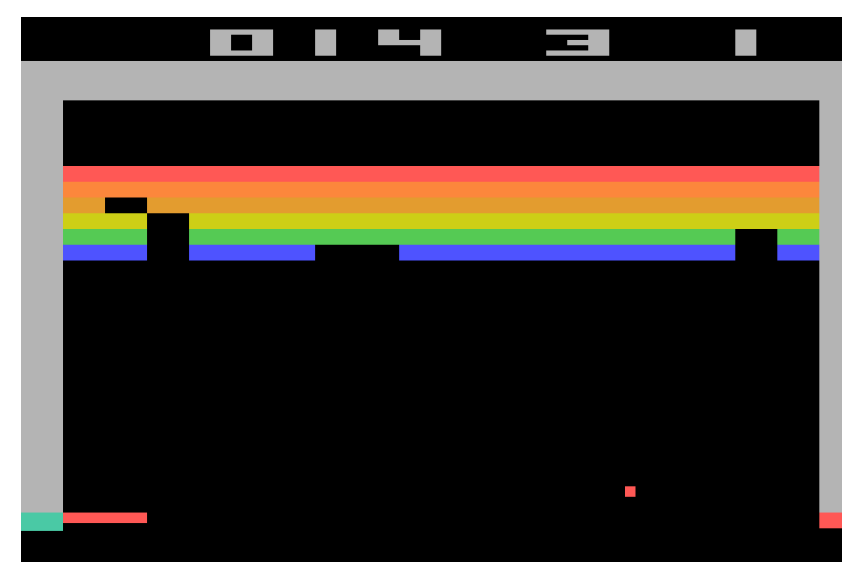
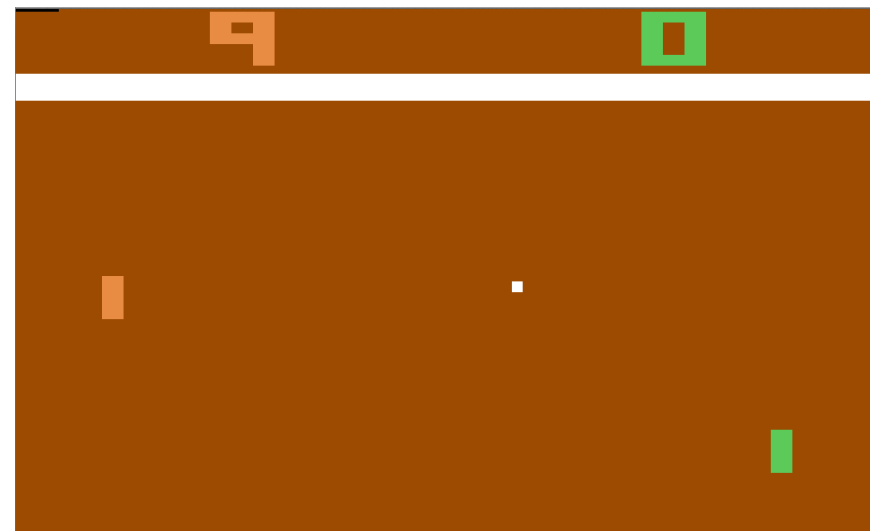
**Dylan Skinner - Brigham Young University - 24 February 2024**

# Reinforcement Learning

# What is Reinforcement Learning?

## Introduction

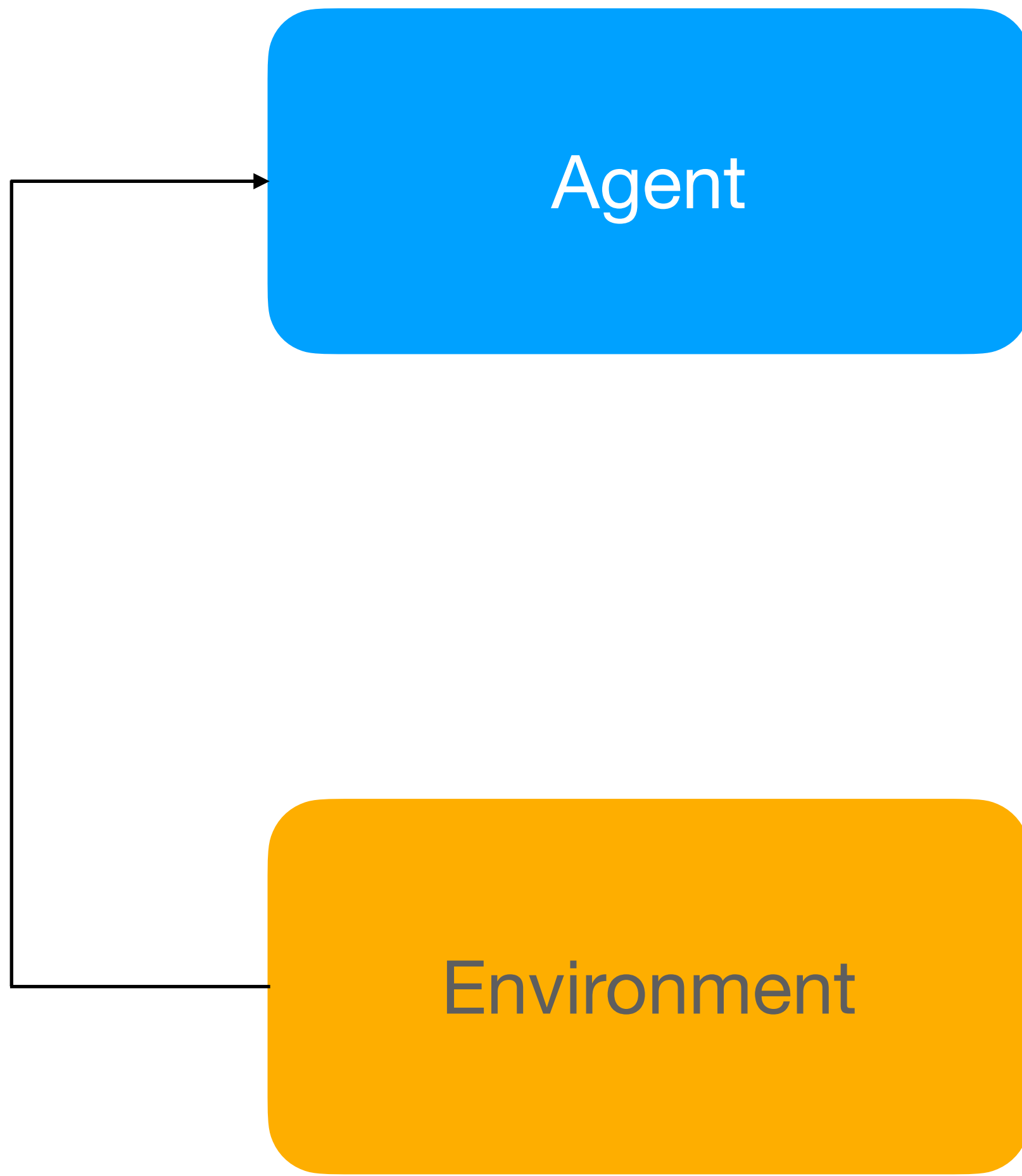
- Agent is placed in an environment
- Agent interacts with the environment through a set of actions
- Agent chooses its actions to maximize a reward (goal)



Agent

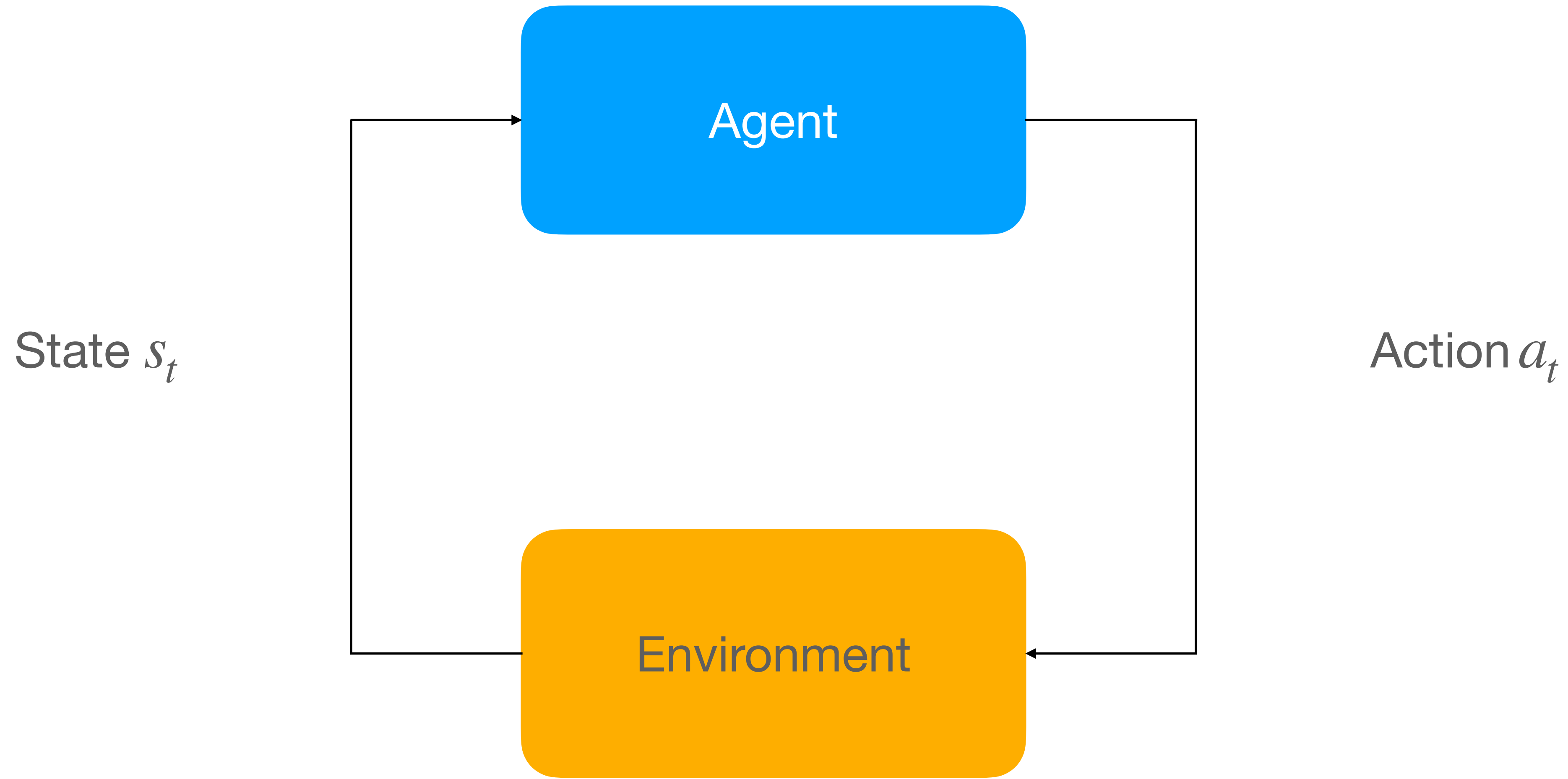
Environment

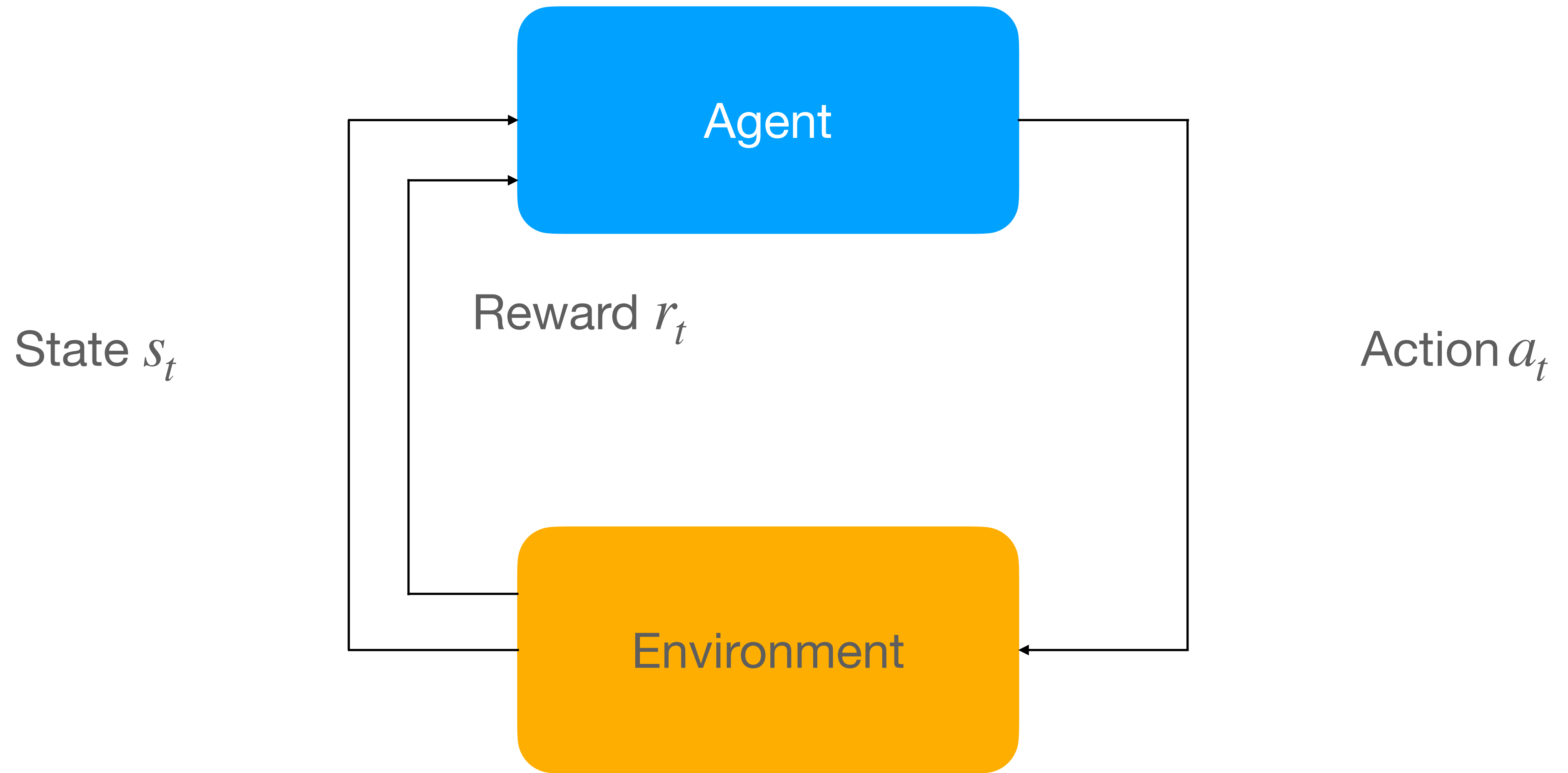
State  $s_t$

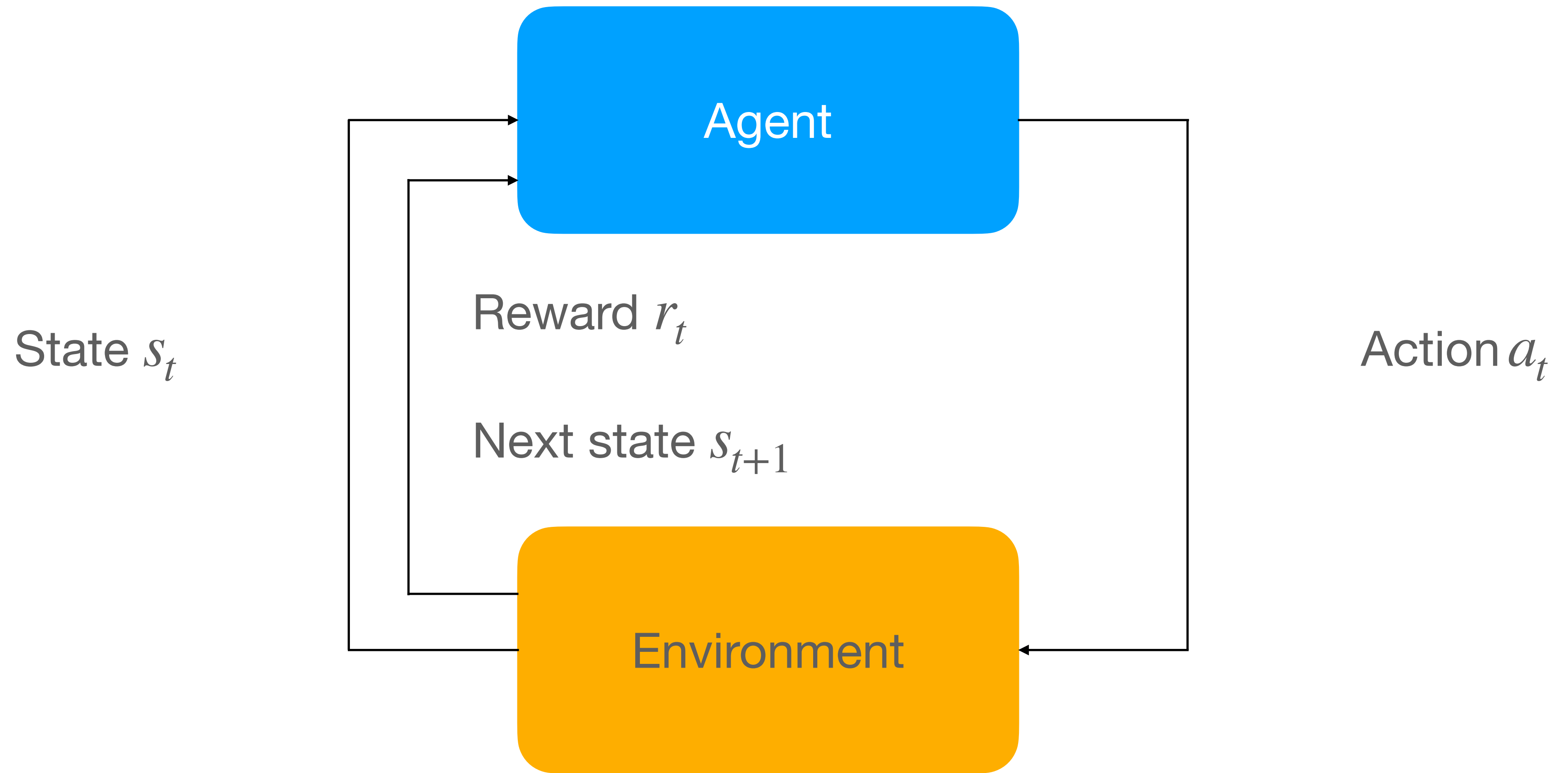


Agent

Environment









# Proximal Policy Optimization (PPO)

## Introduction

- Algorithm developed by OpenAI in 2017
- Seeks a balance between ease of implementation, sample complexity, and ease of tuning
- Accomplished by computing update at each step to minimize cost function and deviate only slightly from current policy
- In order for this to work, the algorithm uses two separate policy networks

# Proximal Policy Optimization (PPO)

Visualized

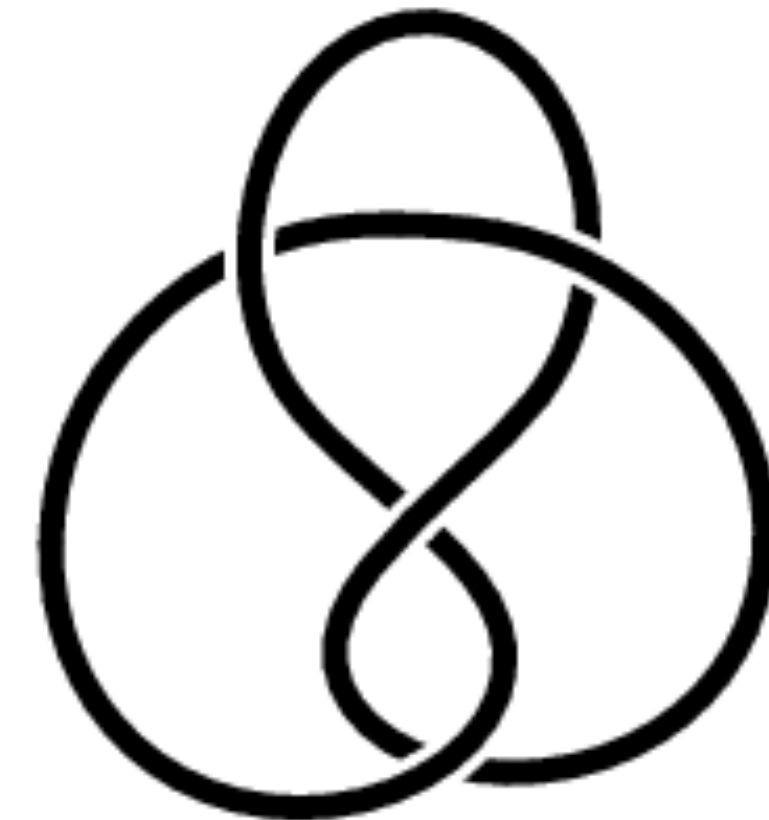
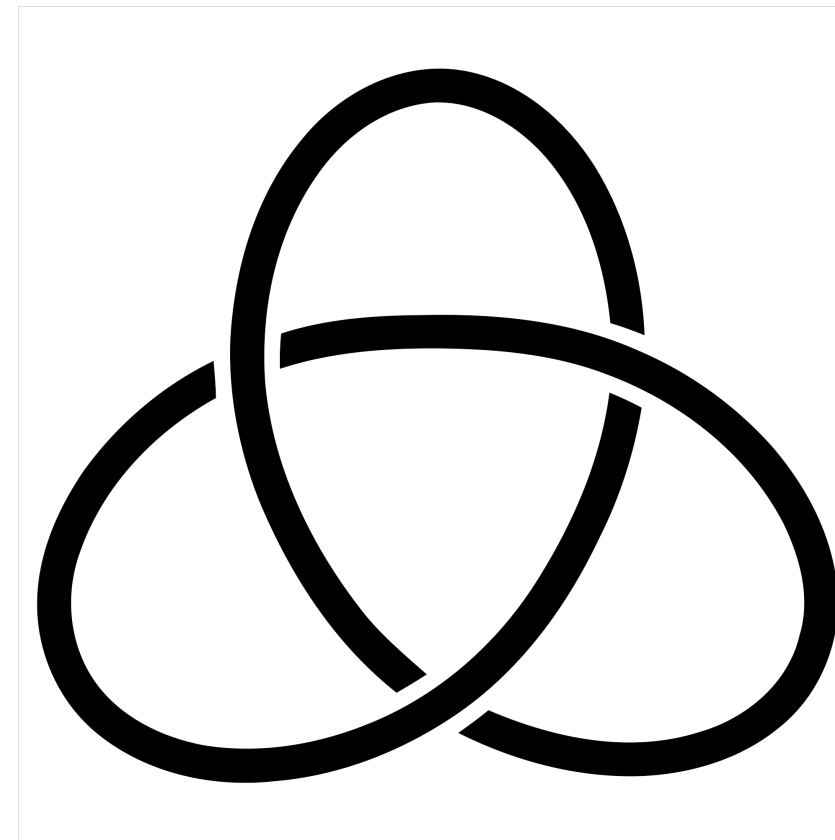
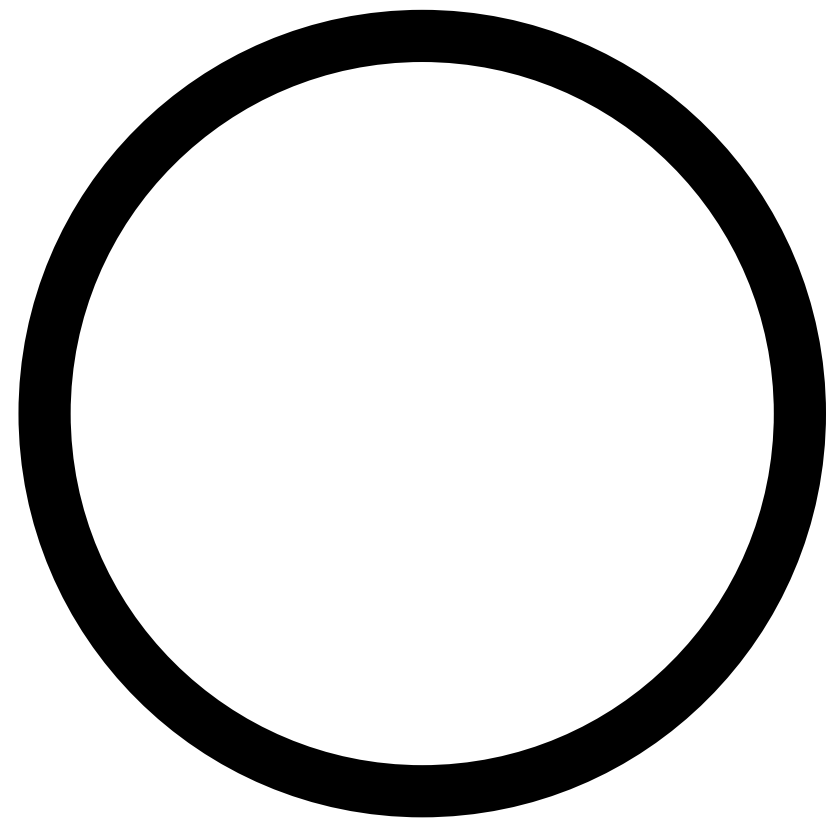


# **Knots, Seifert Surfaces, Slice Surfaces**

# Our Project

## Knots

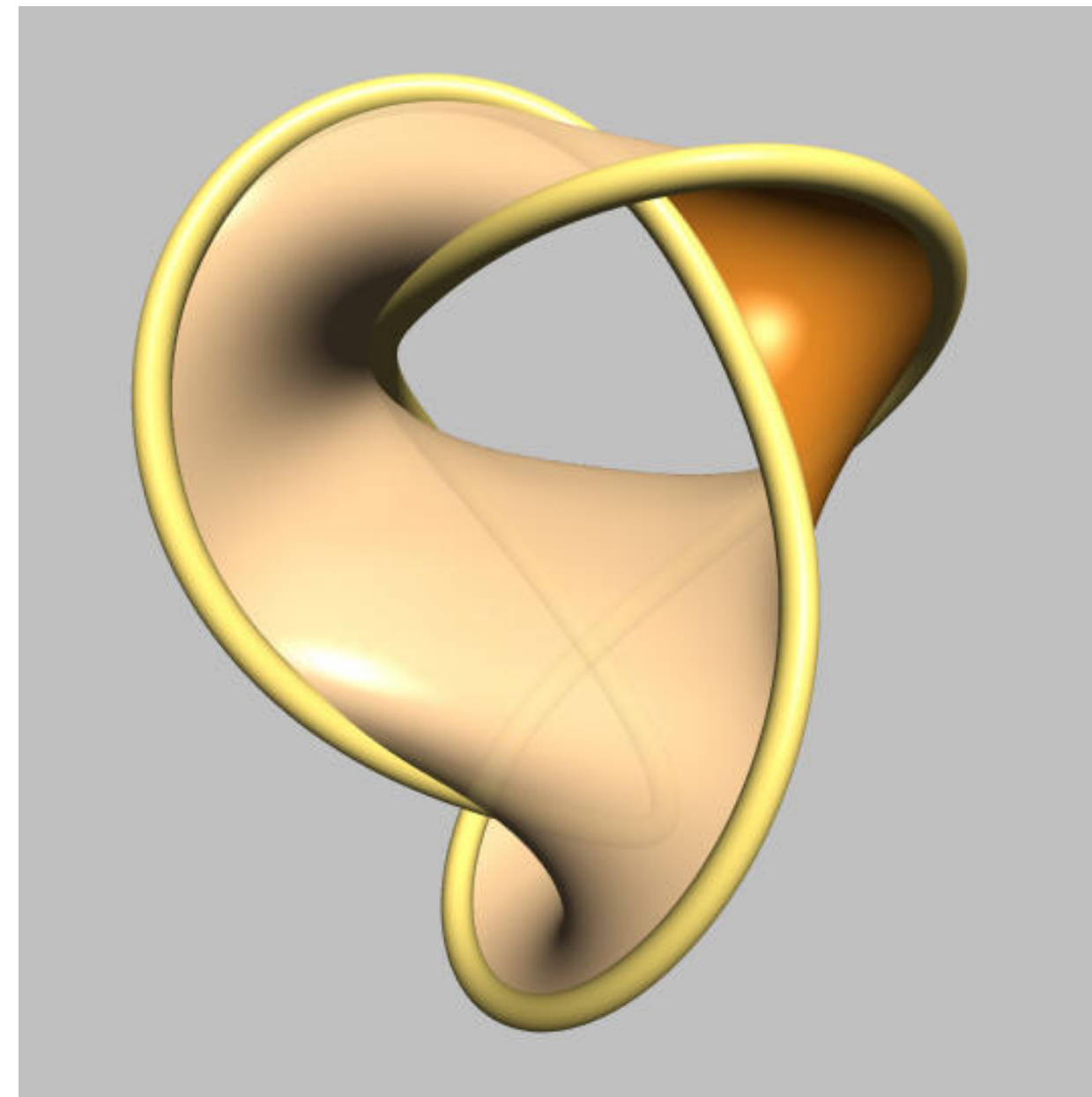
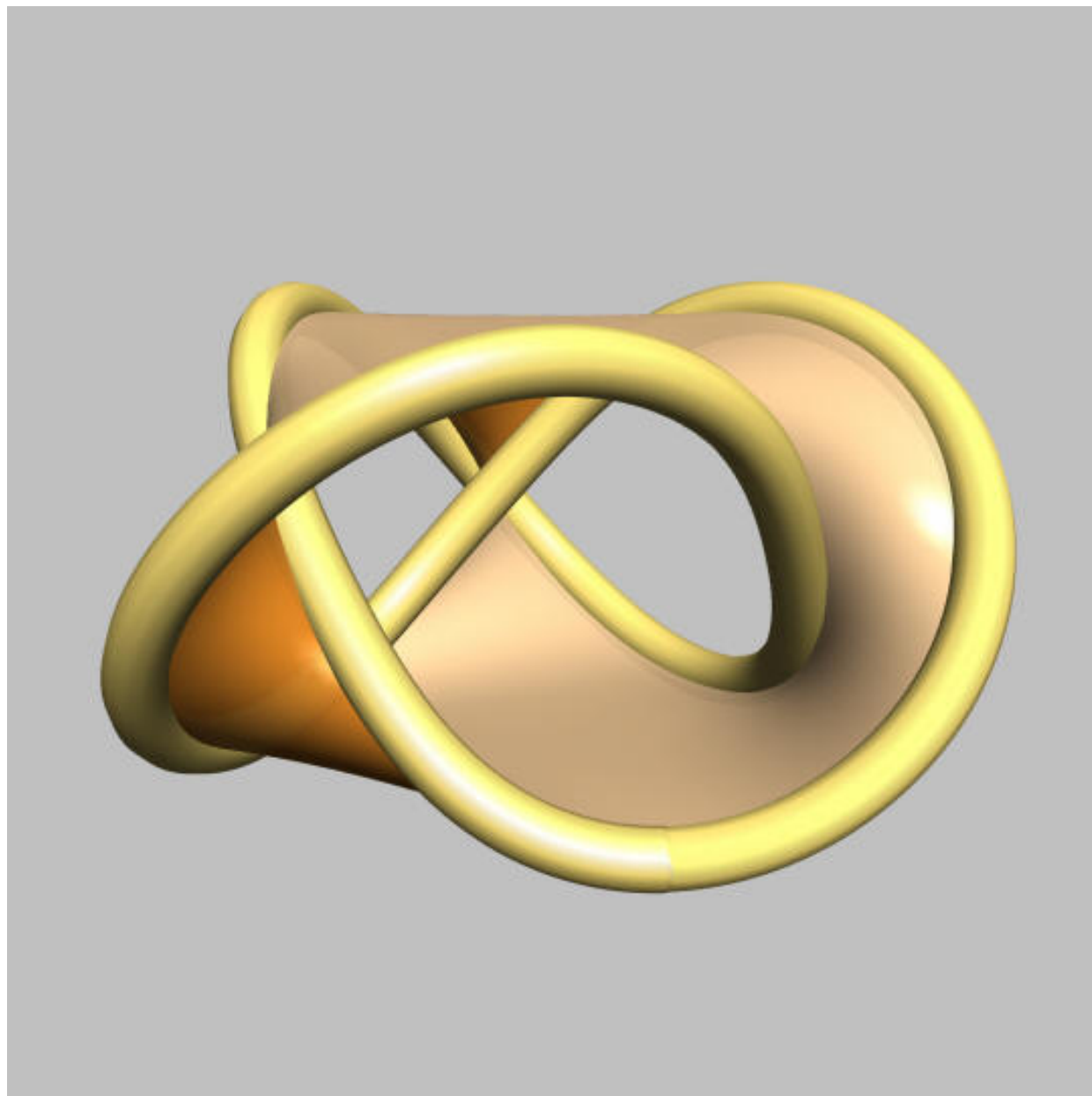
- Think of knots as a necklace whose clasps are together
- These knots live in dimension 3



# Our Project

## Seifert Surfaces

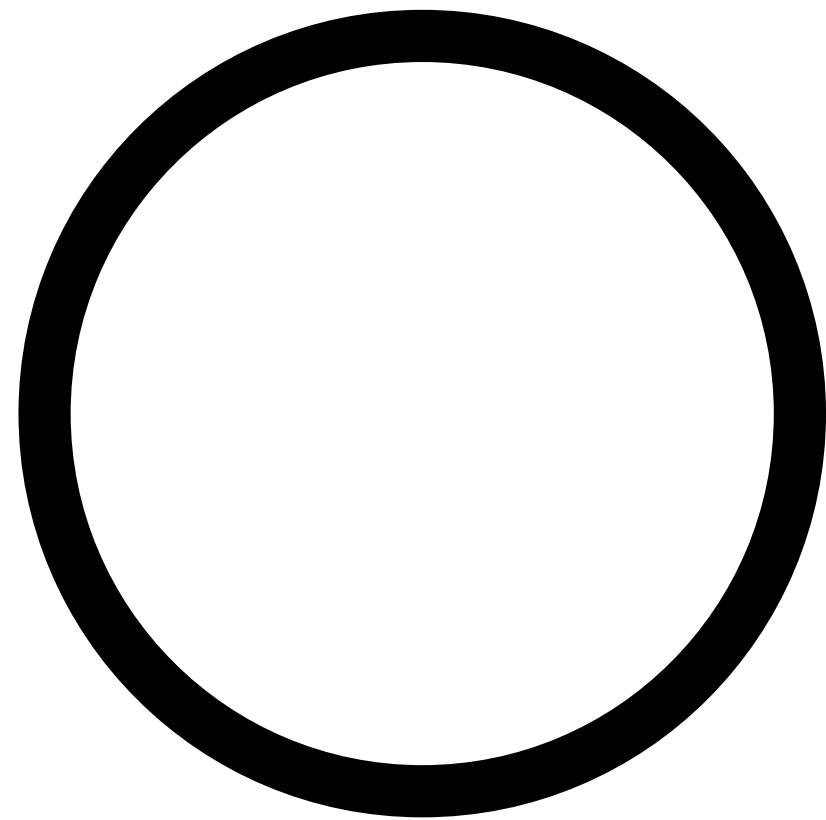
- Seifert surfaces (3D) are orientable surfaces bounded by the knot



# Our Project

## Seifert Surfaces

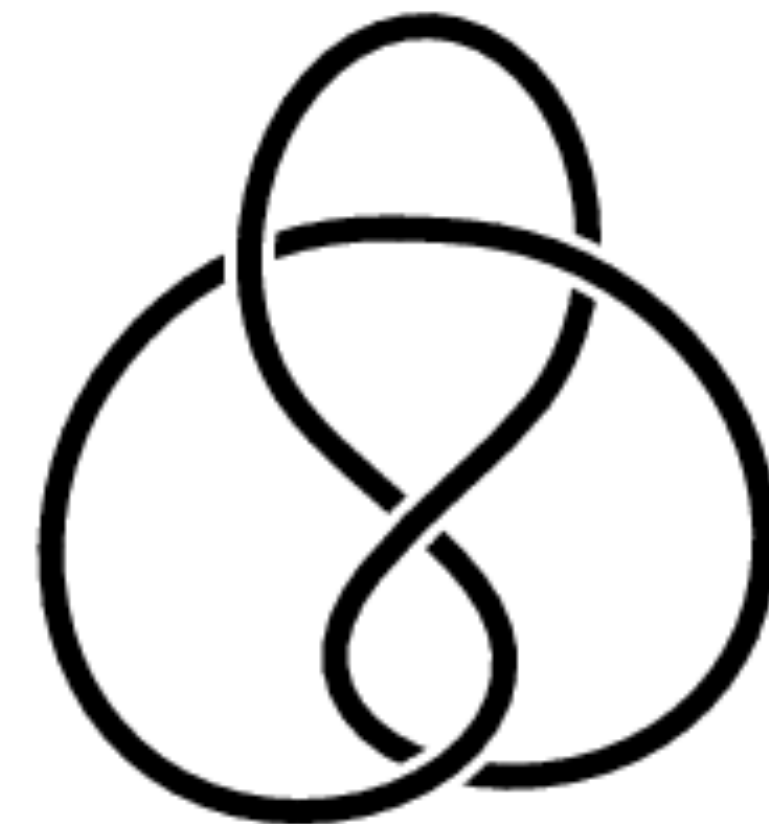
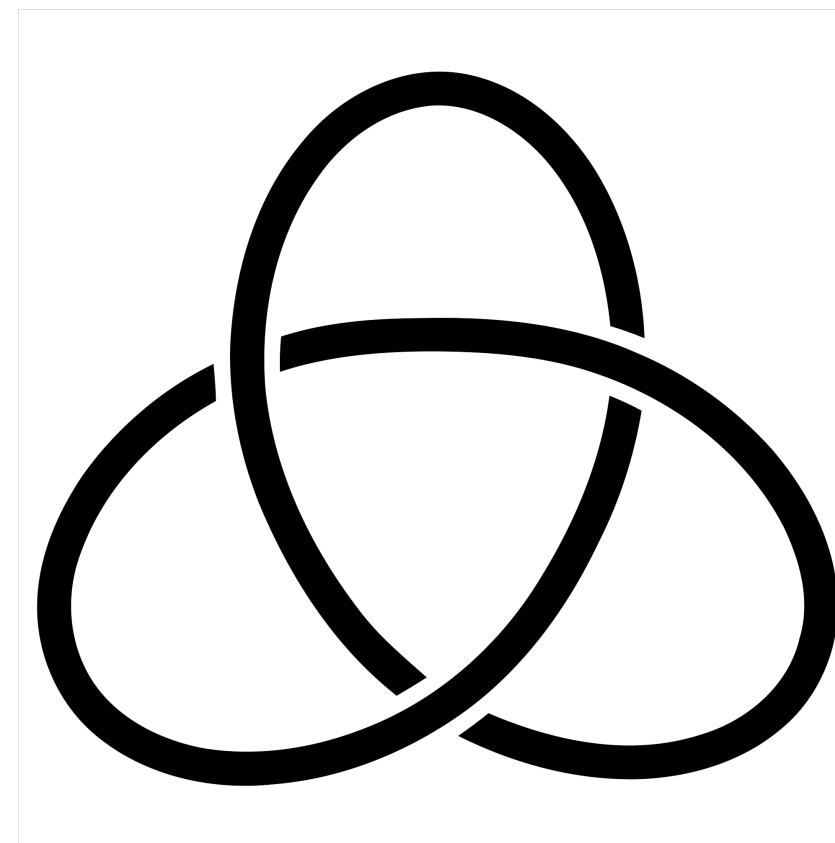
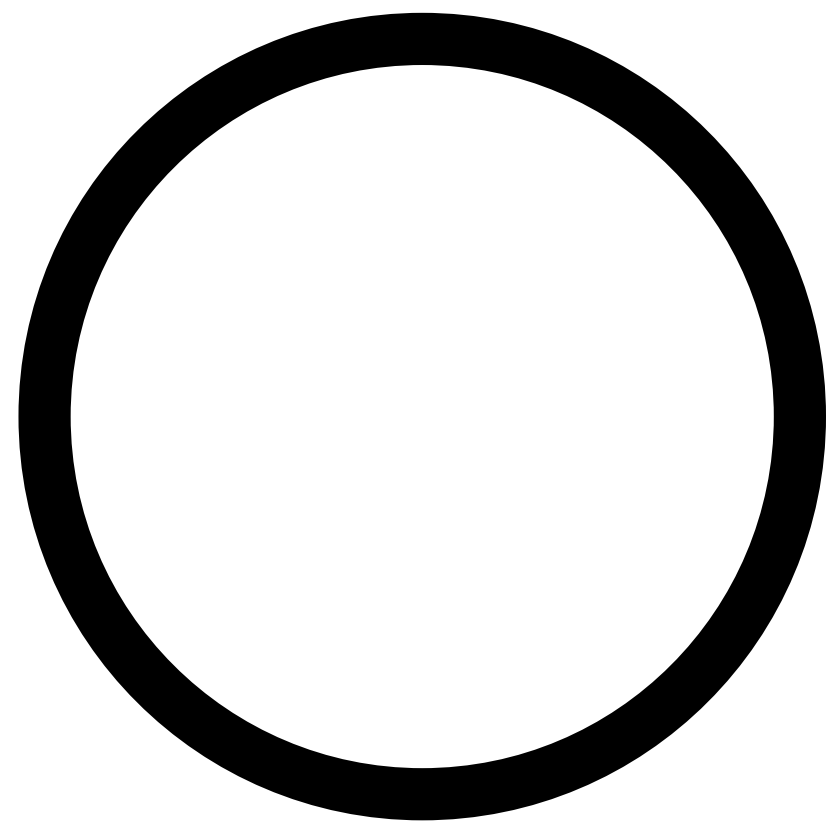
- The unknot is the only knot that bounds a disk in  $S^3$



# Our Project

## Seifert Surfaces

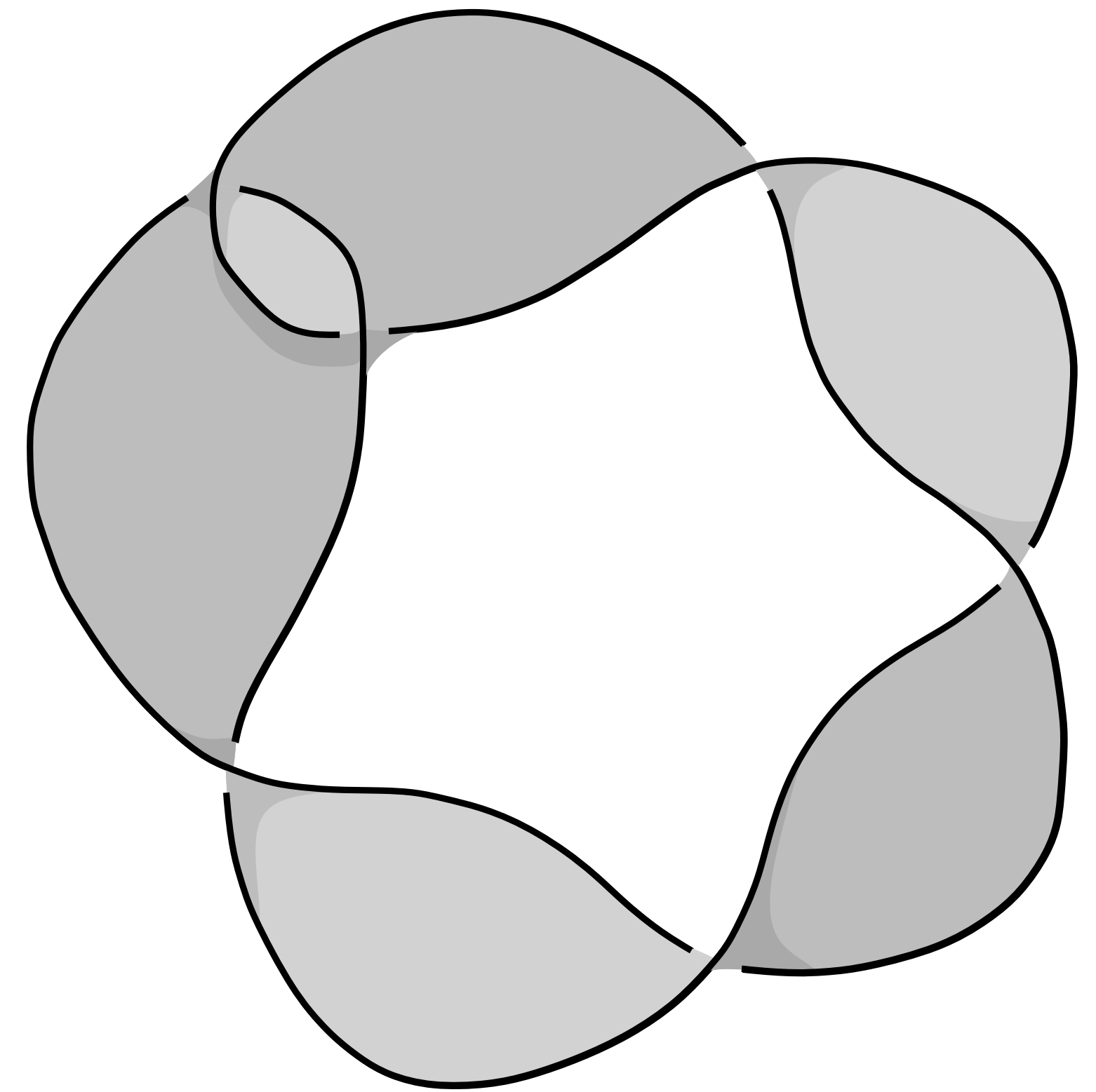
- The unknot is the only knot that bounds a disk in  $S^3$
- The trefoil and figure-eight knots both bound a punctured torus



# Our Project

## Seifert Surfaces

- $6^1$  knot also bounds a punctured torus in  $S^3$

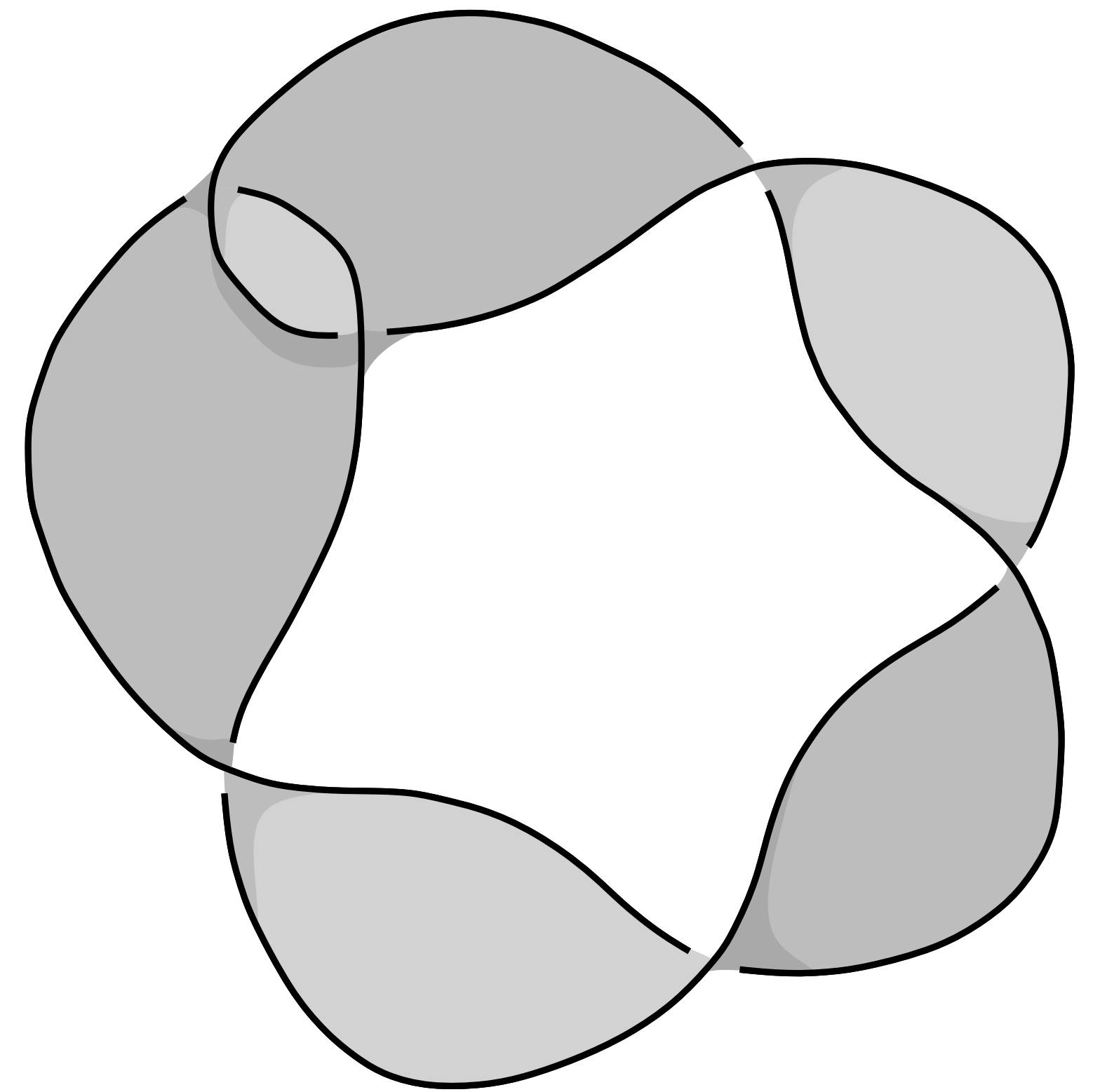




# Our Project

## Seifert Surfaces

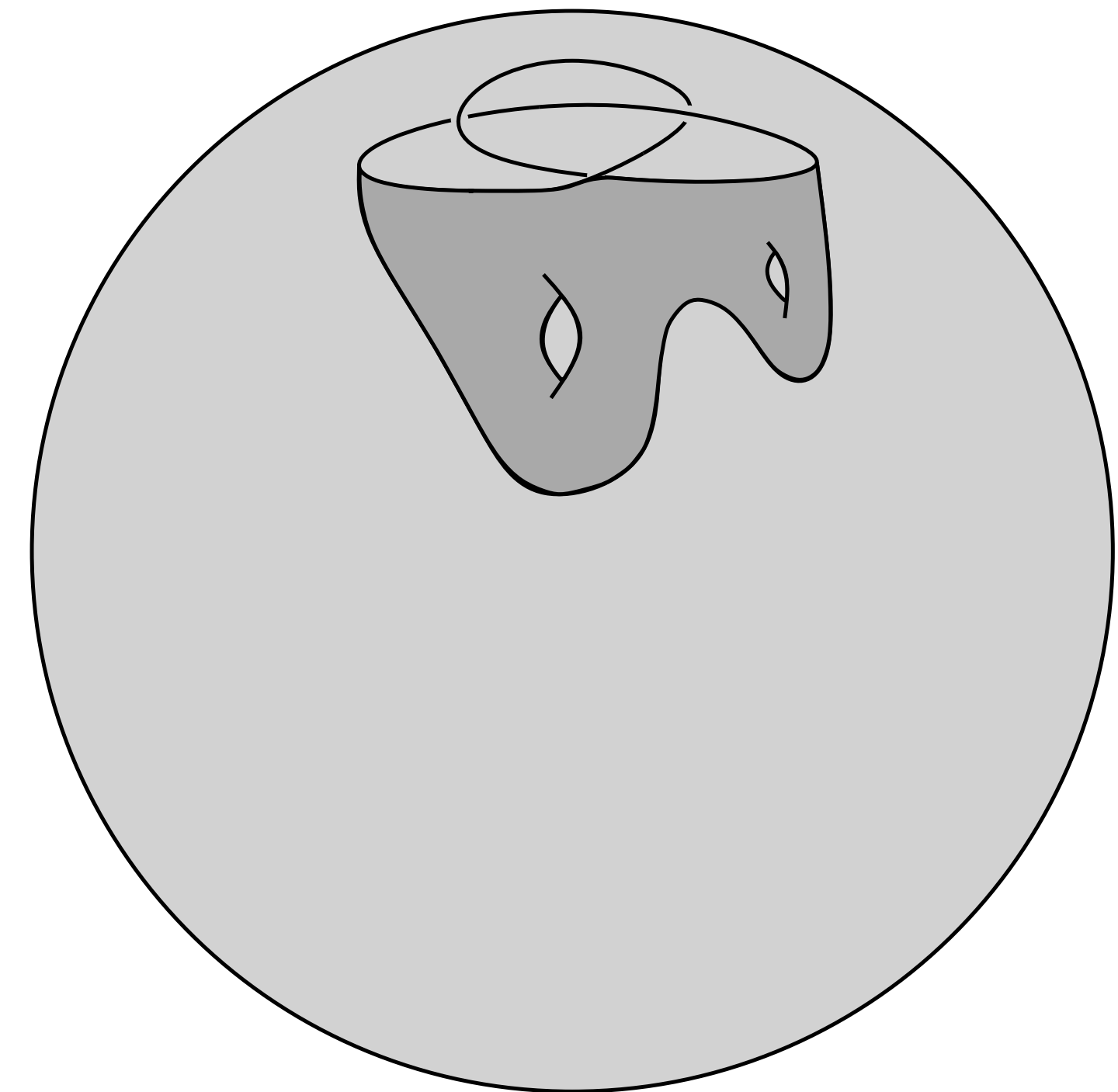
- $6^1$  knot also bounds a punctured torus in  $S^3$
- Can we do better if we add an extra dimension?
- Yes!



# Our Project

## Slice Surfaces

- Think of the knot on the surface  $S^3$  of a ball of dimension 4
- Slice surfaces are equivalent to Seifert surfaces, just in dimension 4

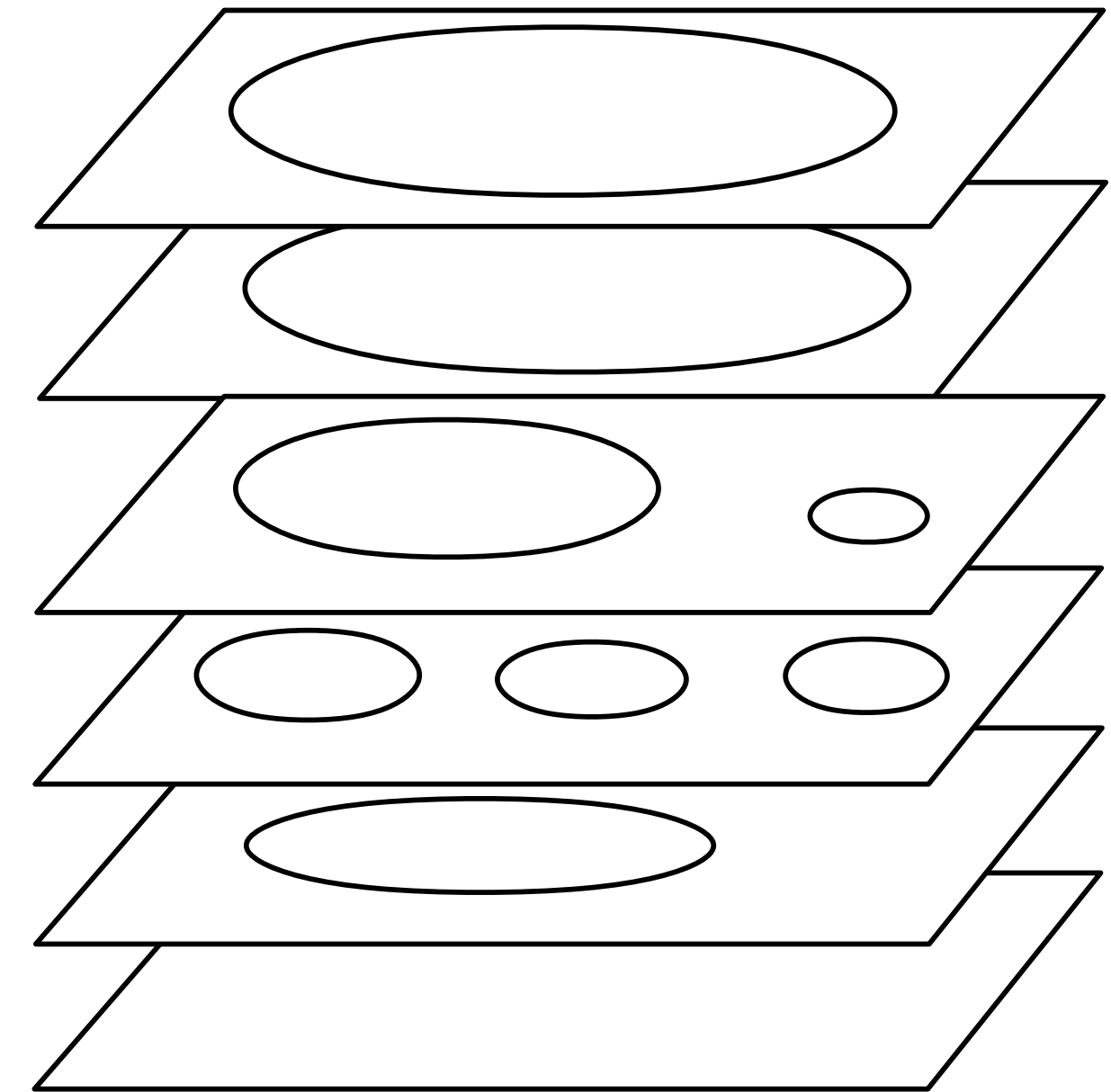
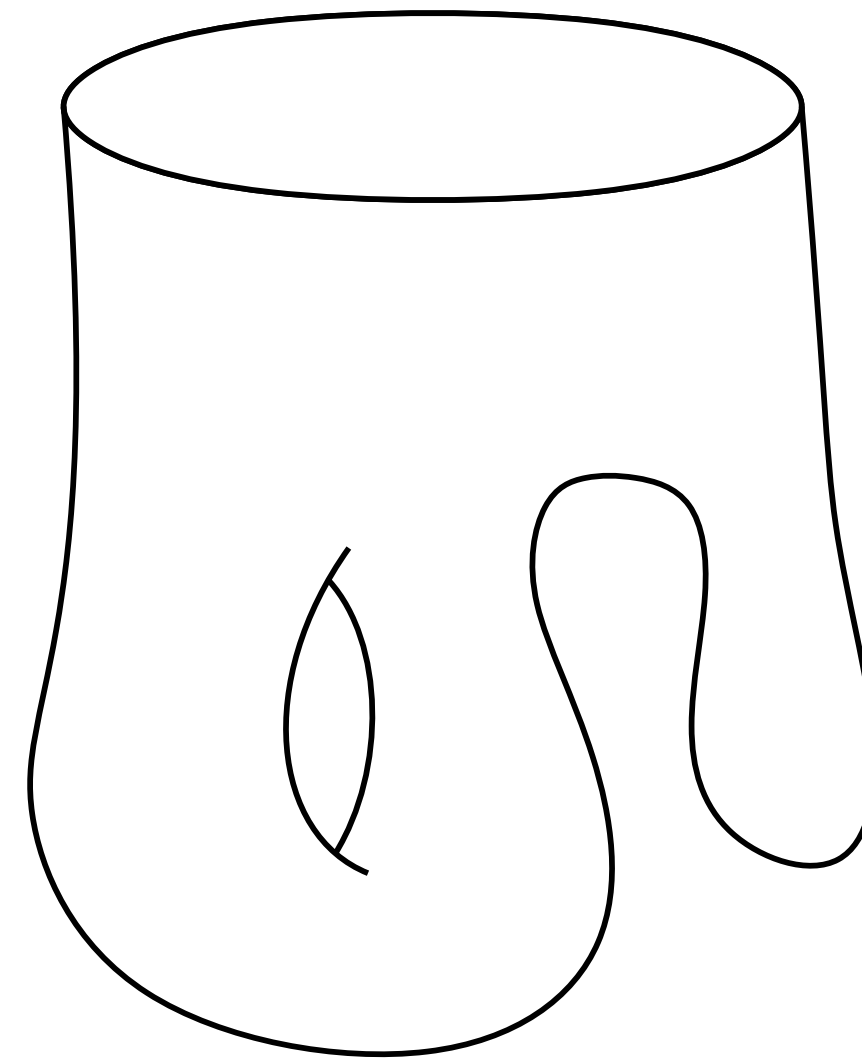


$$S^3 = \partial B^4$$

# Our Project

## Slice Surfaces

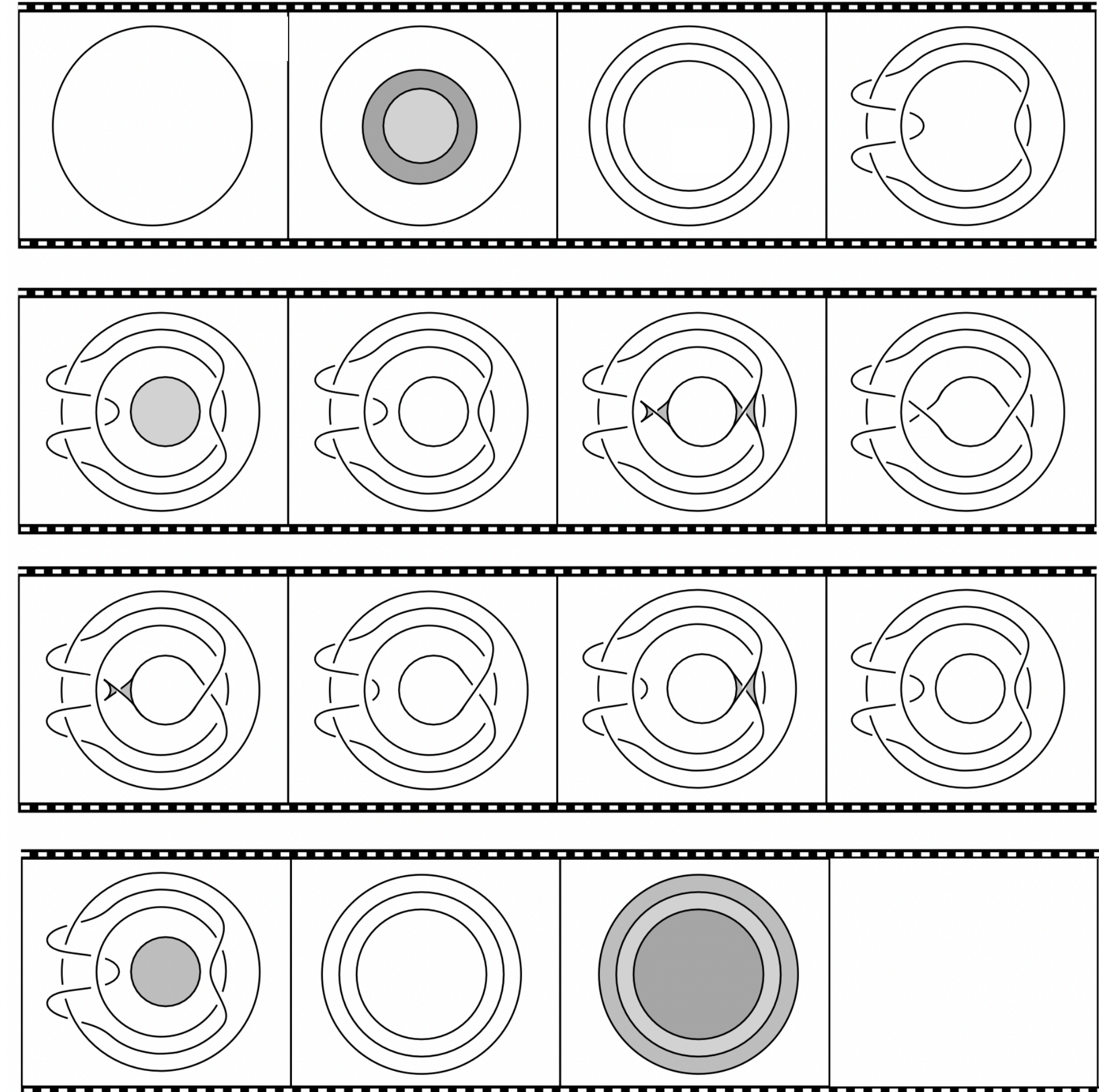
- One way we can look at slice surfaces is level sets



# Our Project

## Slice Surfaces

- Start with a strand
- Add some other strands
- Move the strands around (according to a set of moves)

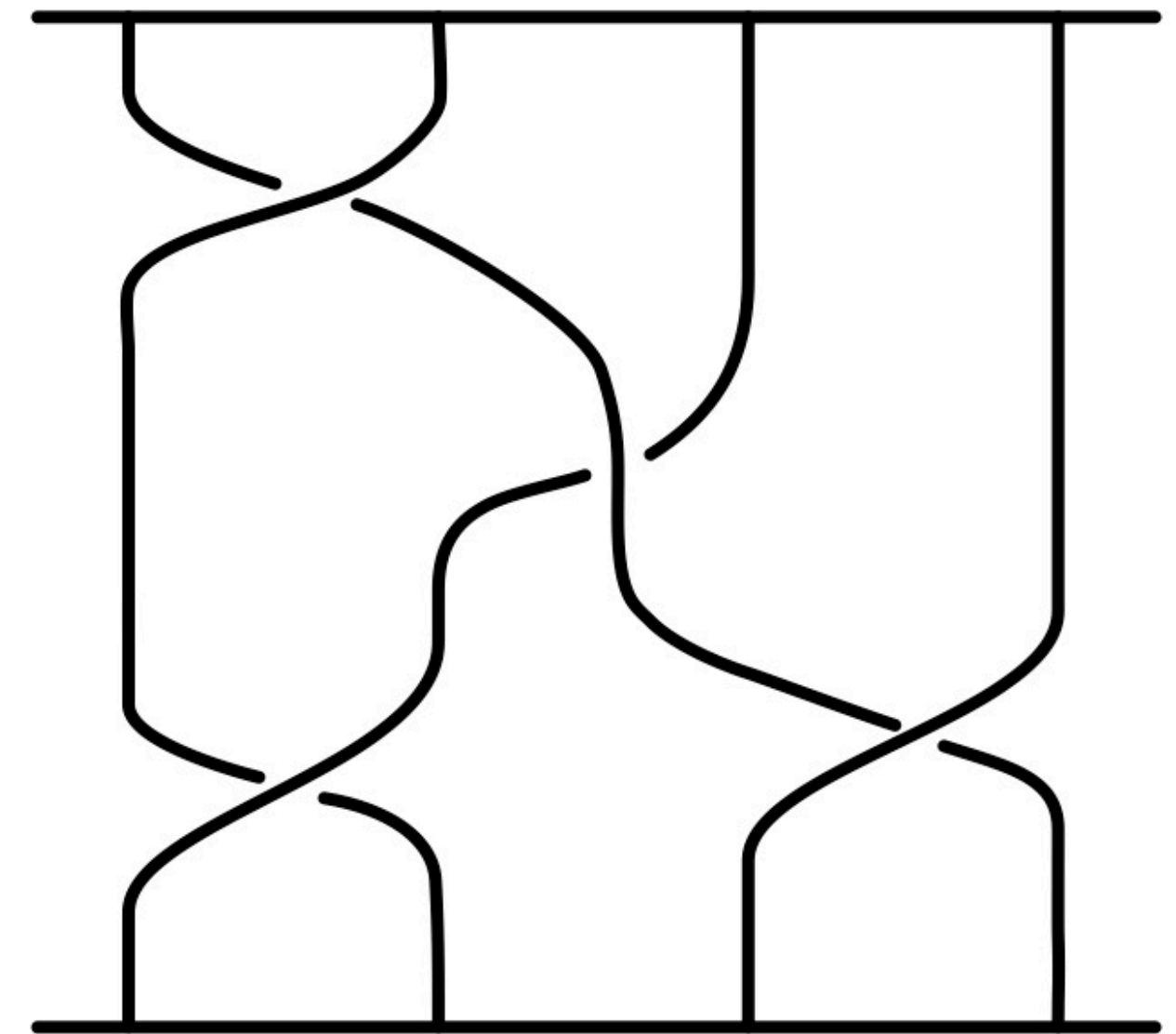


# Braids

# Our Project

## Braids

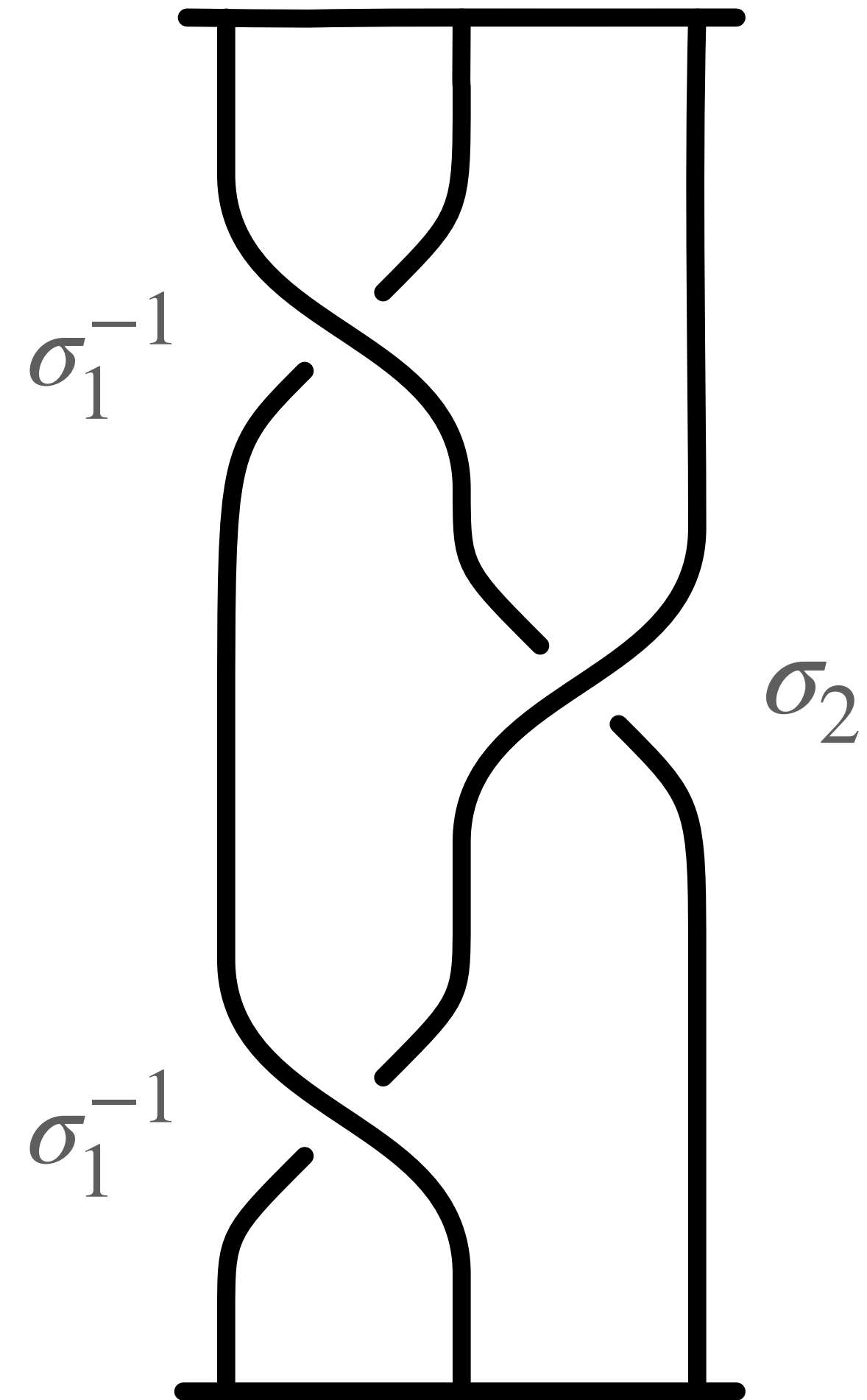
- Every knot or link can be represented in braid form
- A braid is simply a set of  $n$  strings attached to a horizontal bar at the top and the bottom
- If you cut a knot at one point, you can attach it to two bars to make a braid



# Our Project

## Braids

- We represent braids through braid words
- If the  $n$ th strand crosses over the  $n + 1$ th strand, represent as  $\sigma_n^{-1}$
- If the  $n$ th strand crosses under the  $n + 1$ th strand, represent as  $\sigma_n$
- Braid word:  $\sigma_1^{-1}\sigma_2\sigma_1^{-1}$



# **Our Project and Results**



# Our Project

## Actions and Rewards

- Move 0: Remove a crossing
  - Moves 1-10: Change the way the braid is presented
  - Moves 11-12: Add crossings
  - Inaction Penalty (Encourage our agent to find the answer as quick as possible)
- Reward: -1
  - Reward: 0
  - Reward: -1
  - Reward: -0.05 (Hyperparameter)

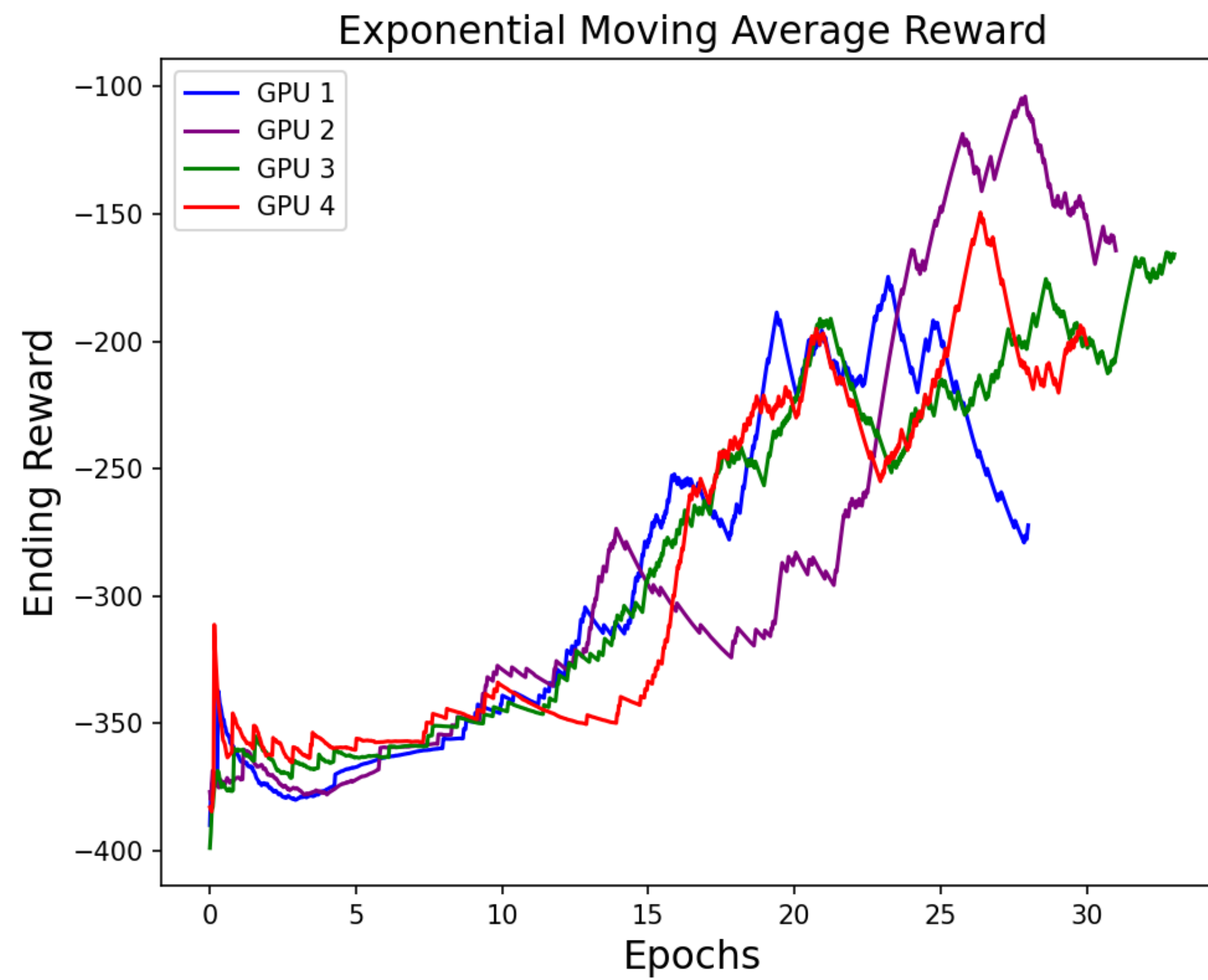
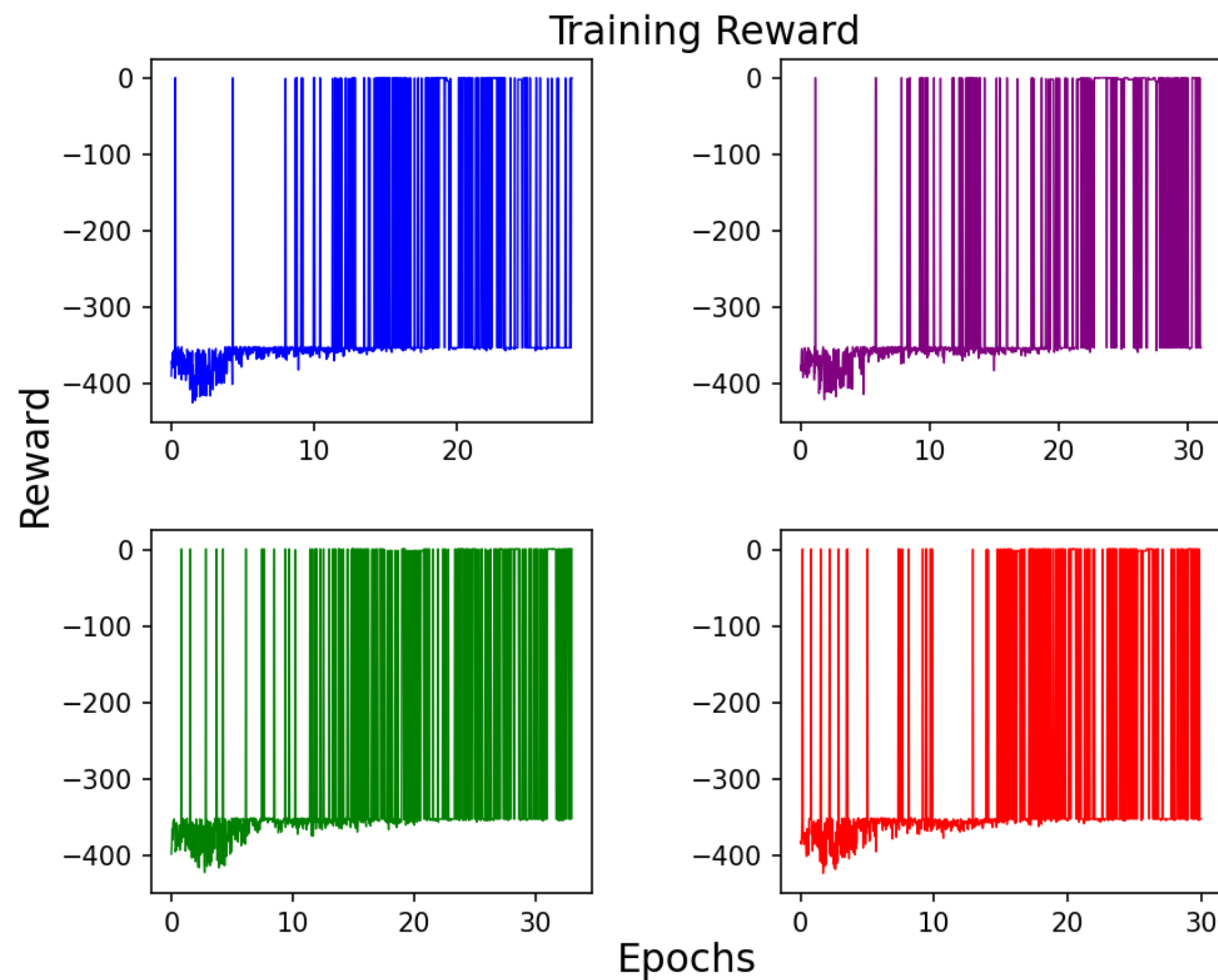
# Our Project

## Rewards for Results

- If a move produces an unknotted, unlinked component, that component is removed and a reward of +1 is received
- If the agent builds a surface with the maximal Euler characteristic, the environment closes
- If the agent fails to build such a surface, a penalty of -350 is given

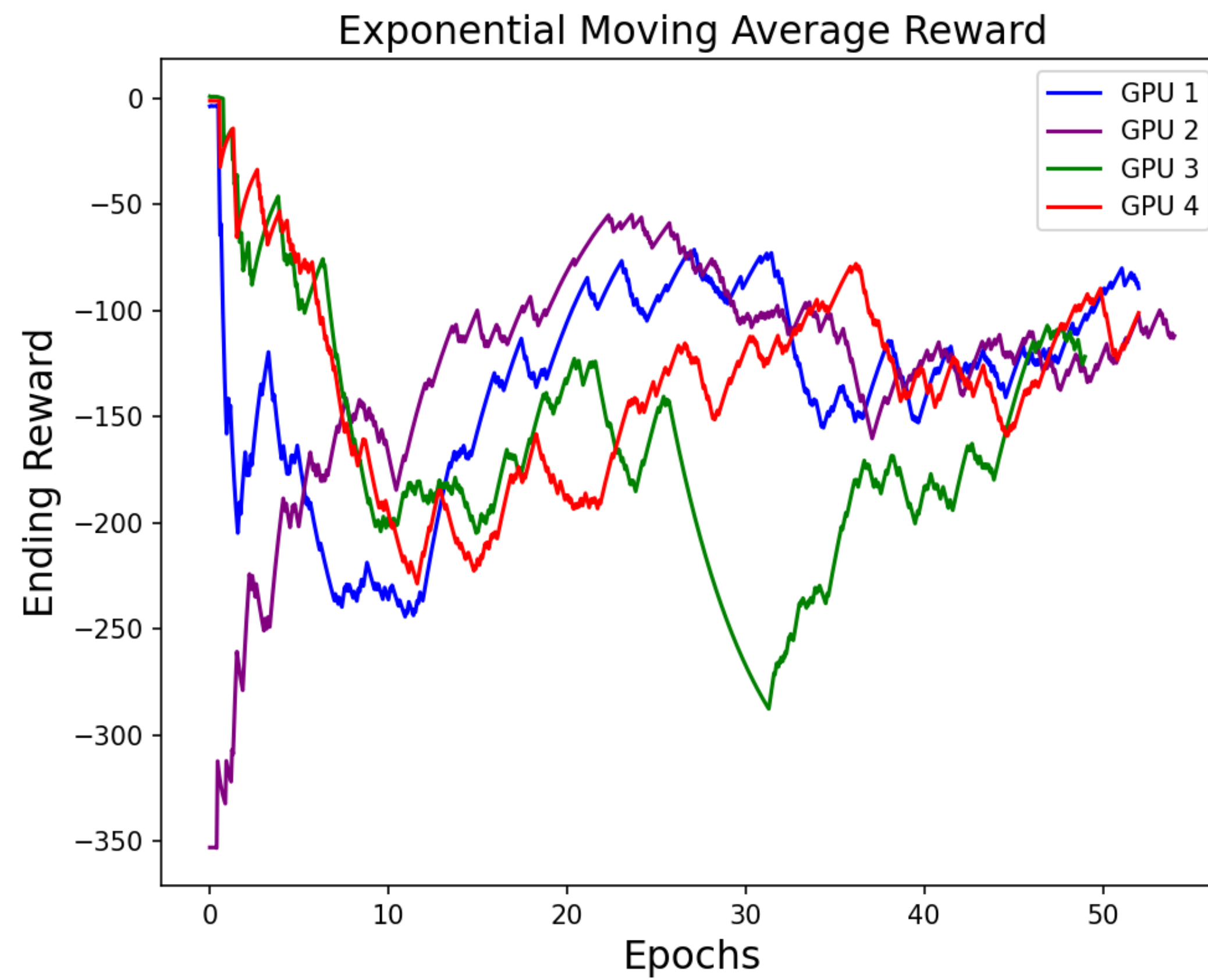
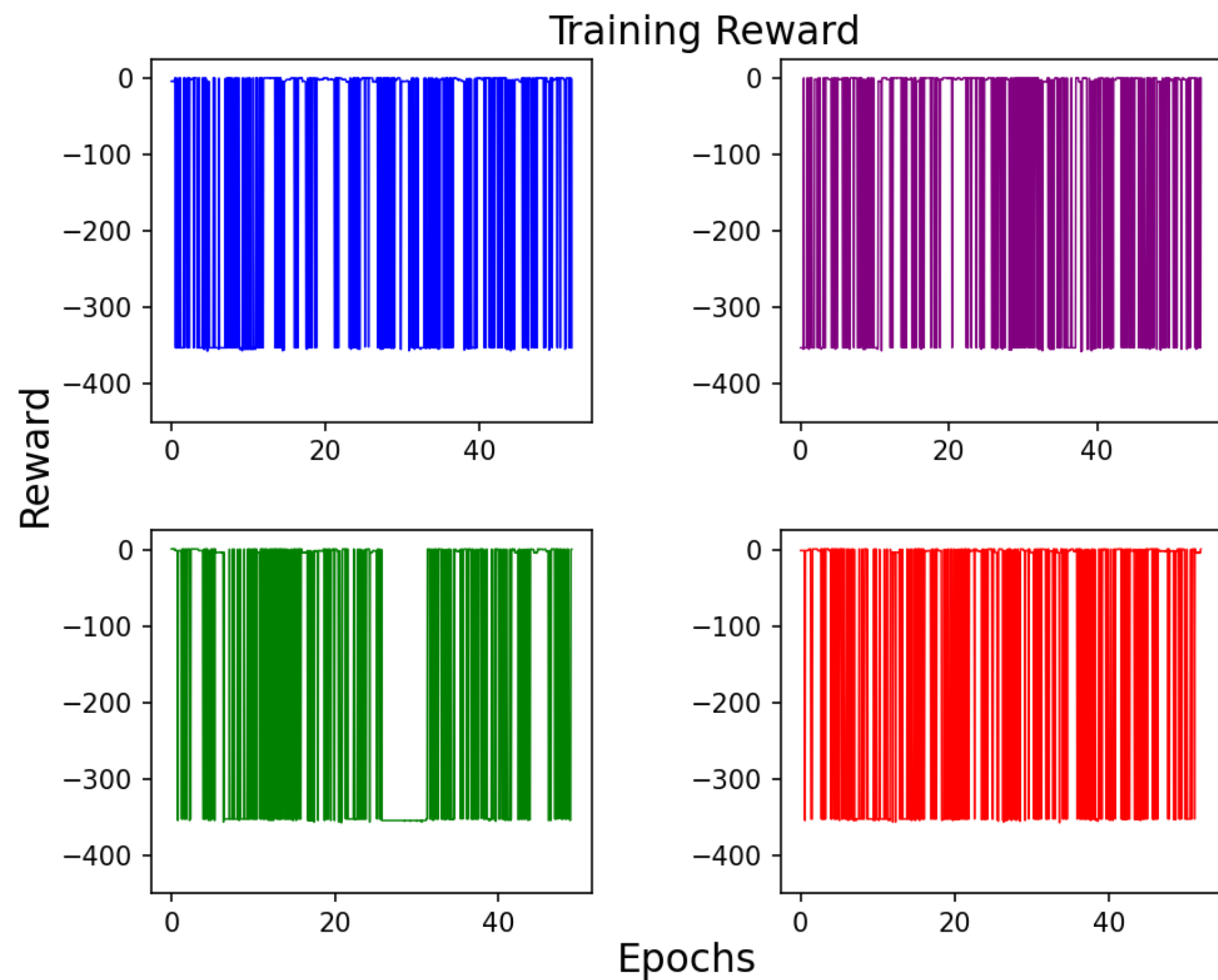
# Our Project

## Knots Between 2 and 5 Crossings



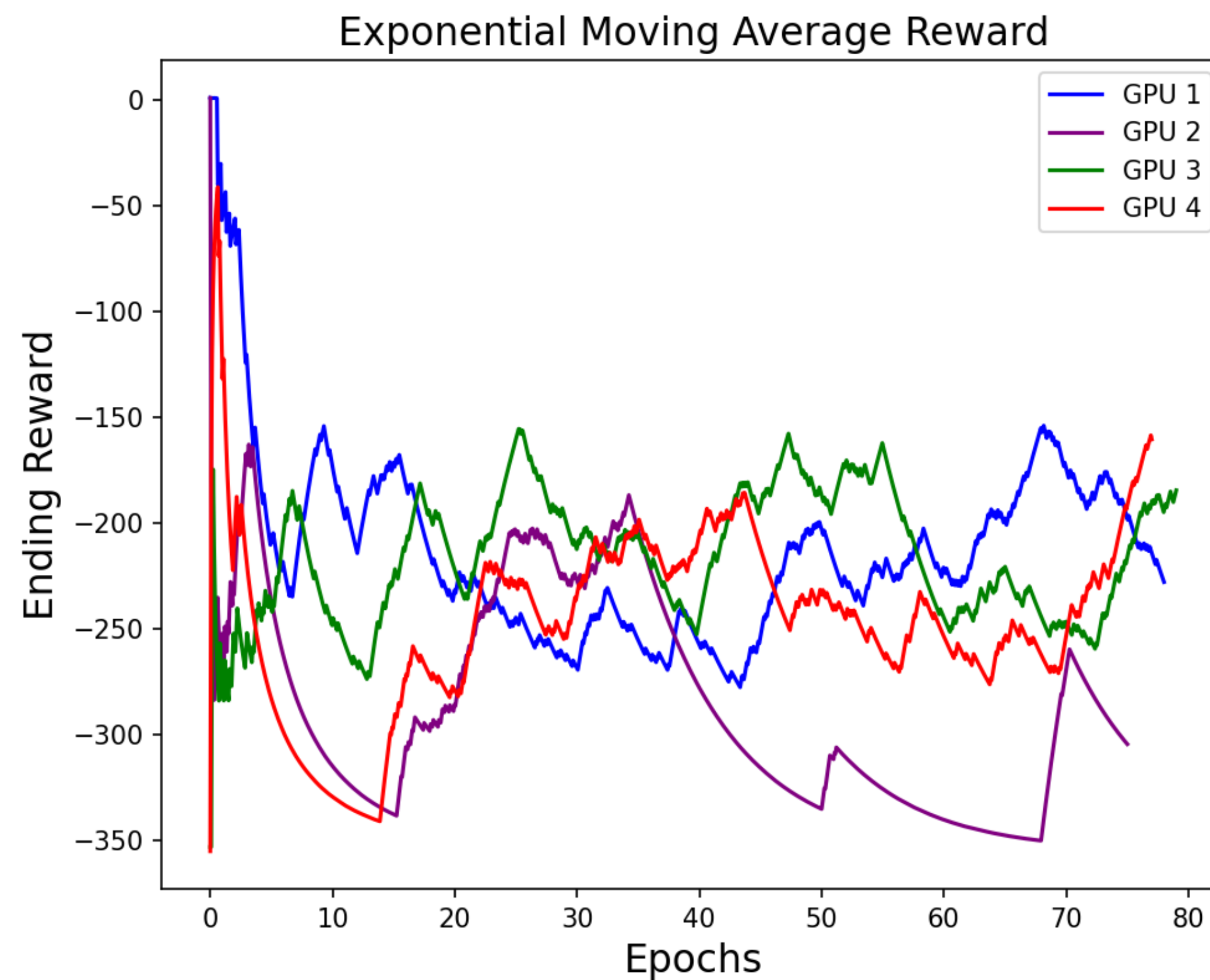
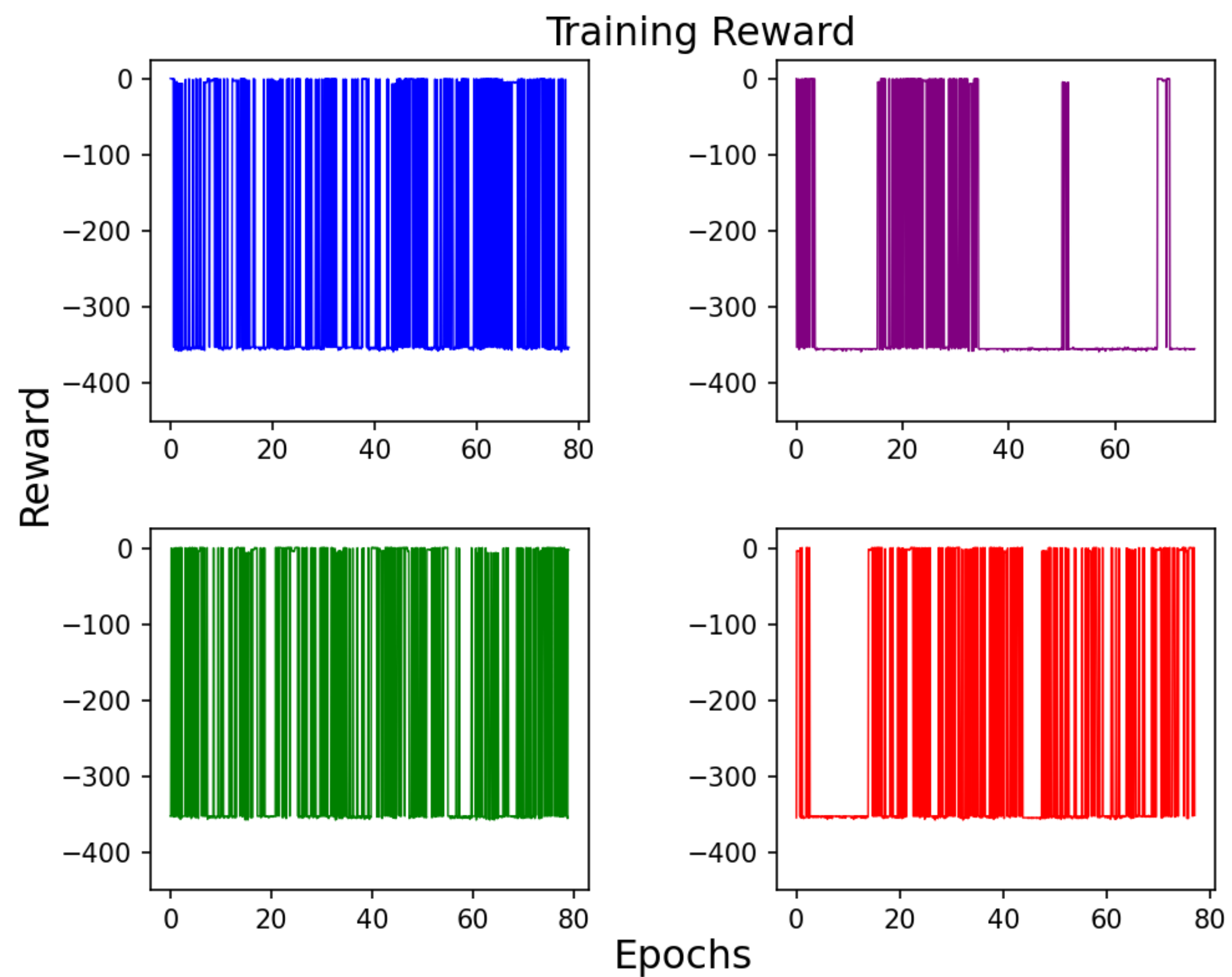
# Our Project

## Knots Between 3 and 6 Crossings



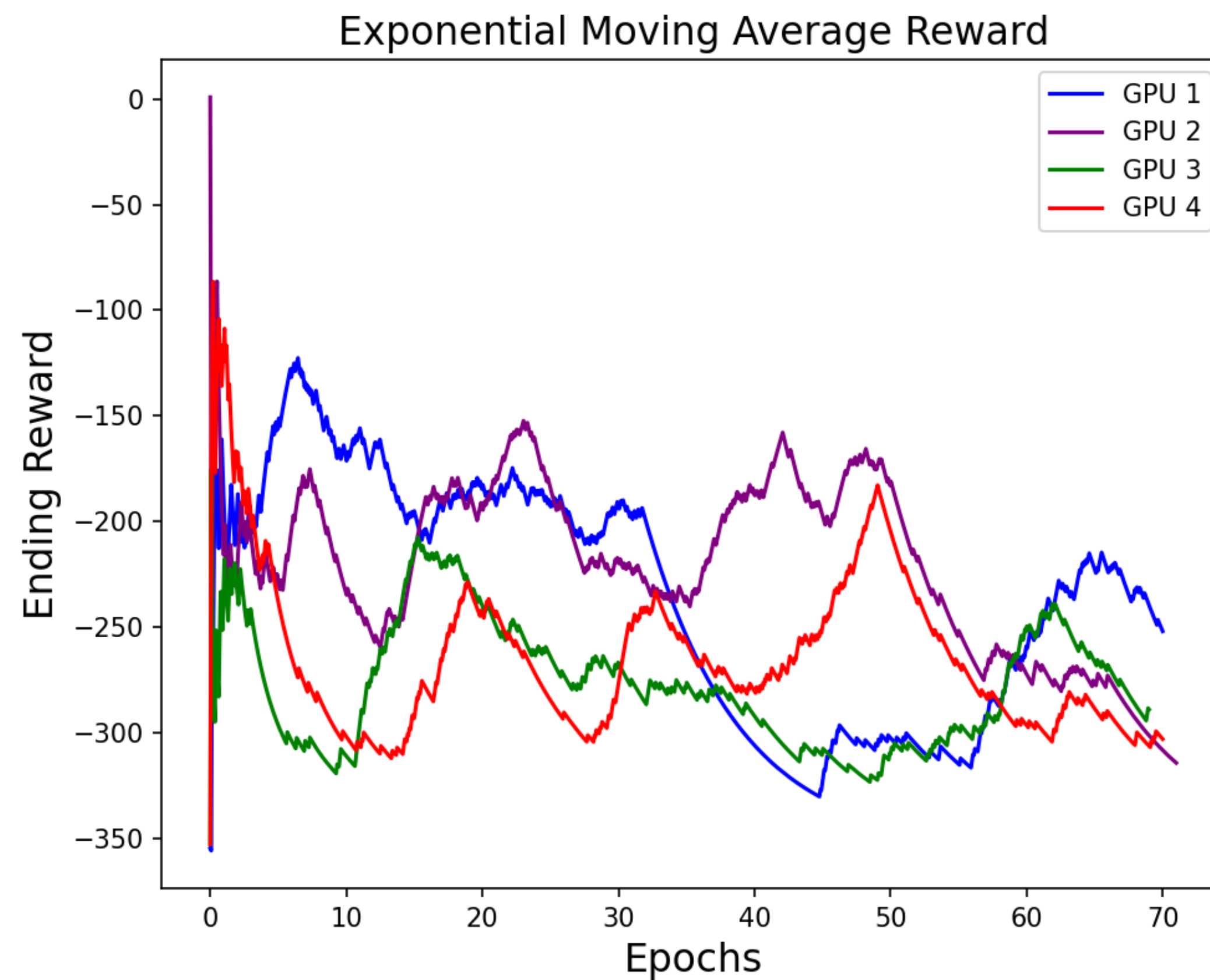
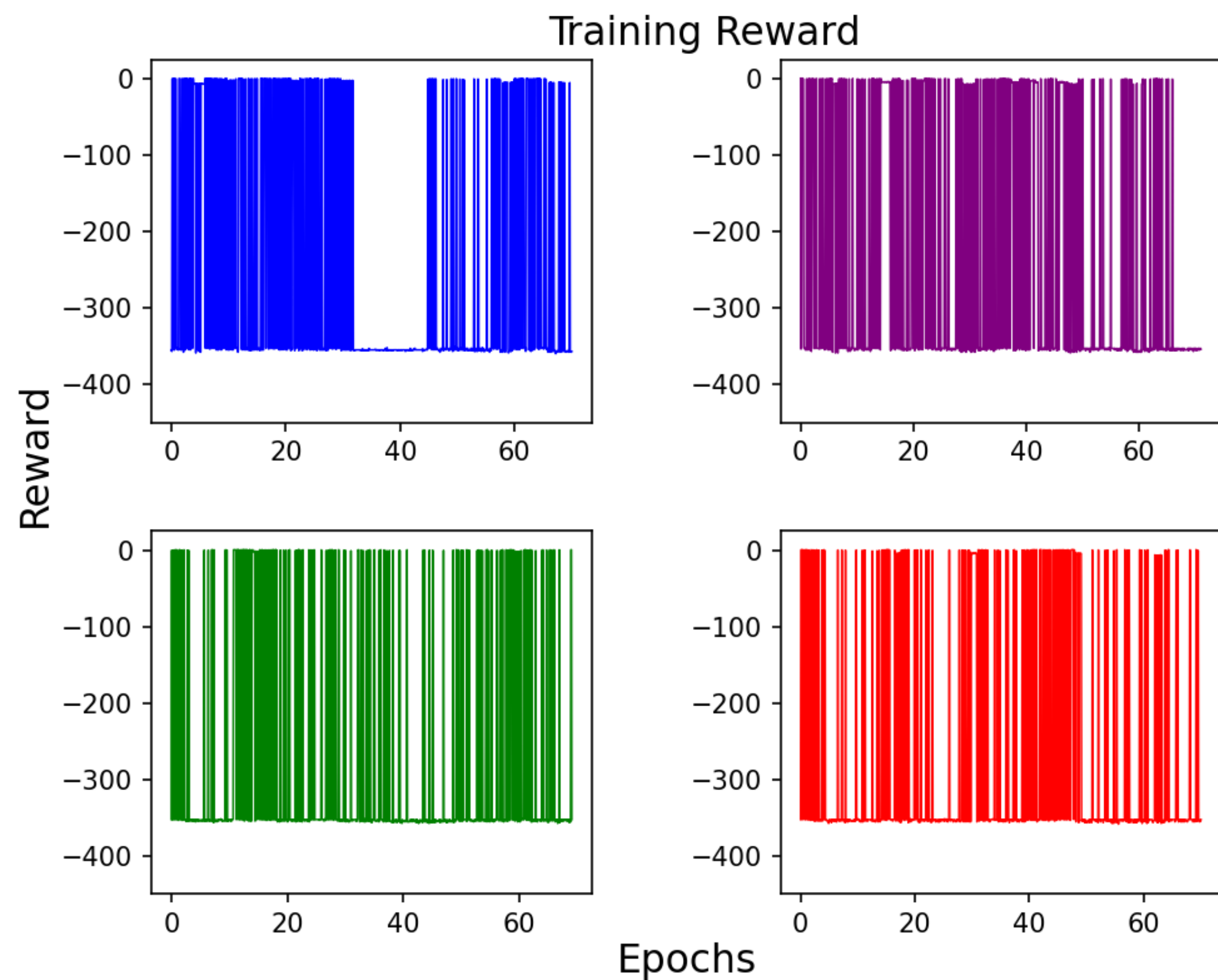
# Our Project

## Knots Between 4 and 7 Crossings



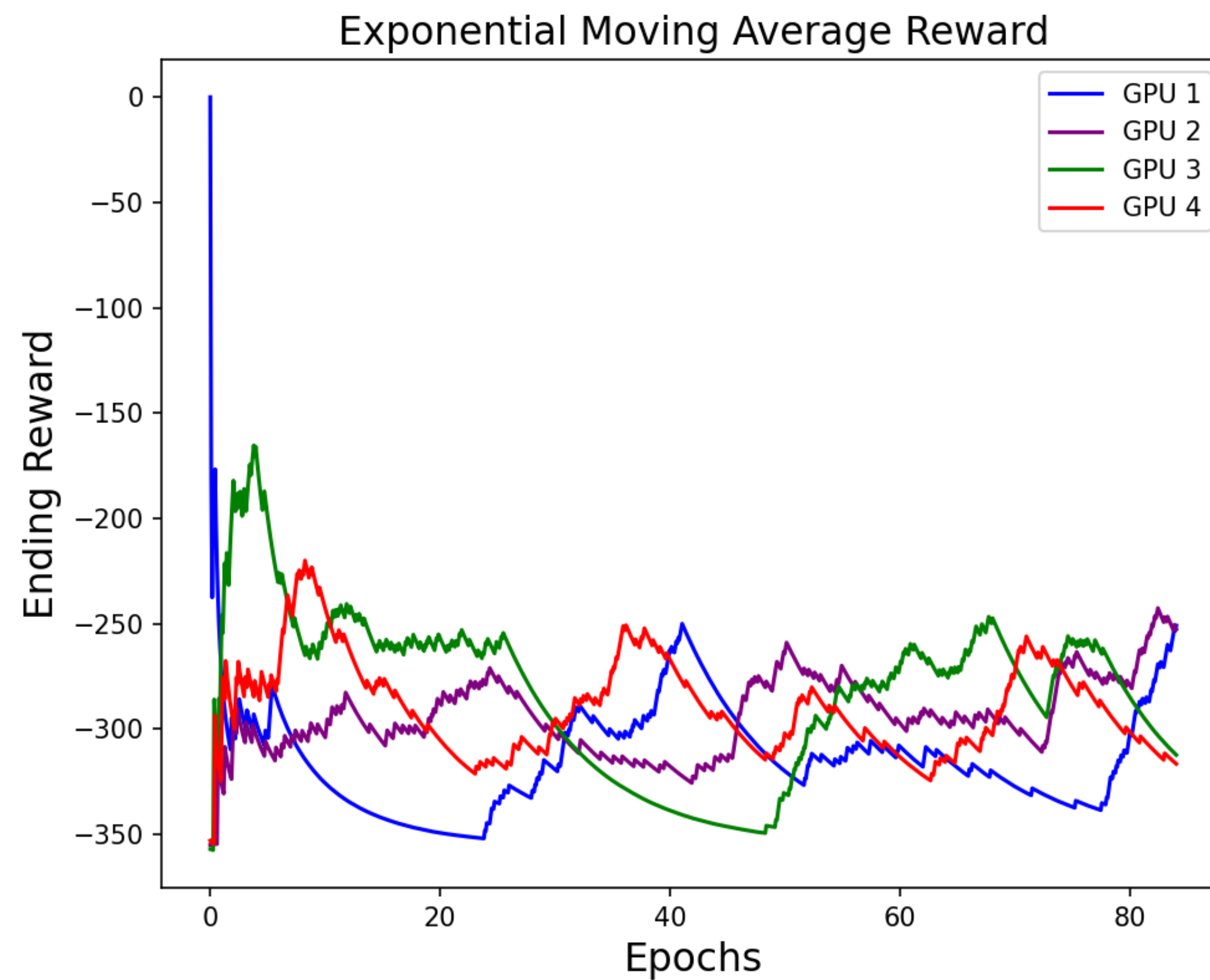
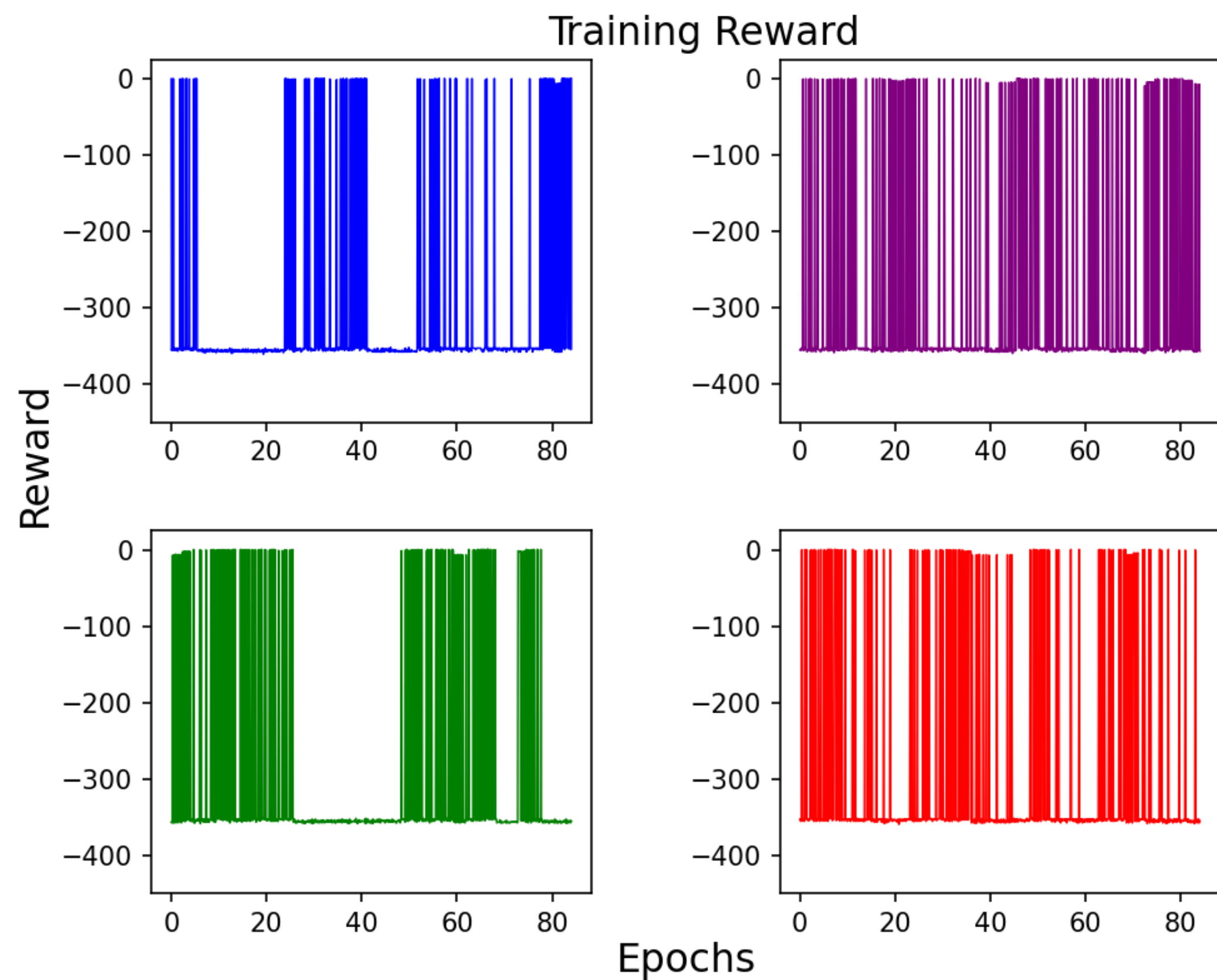
# Our Project

## Knots Between 5 and 8 Crossings



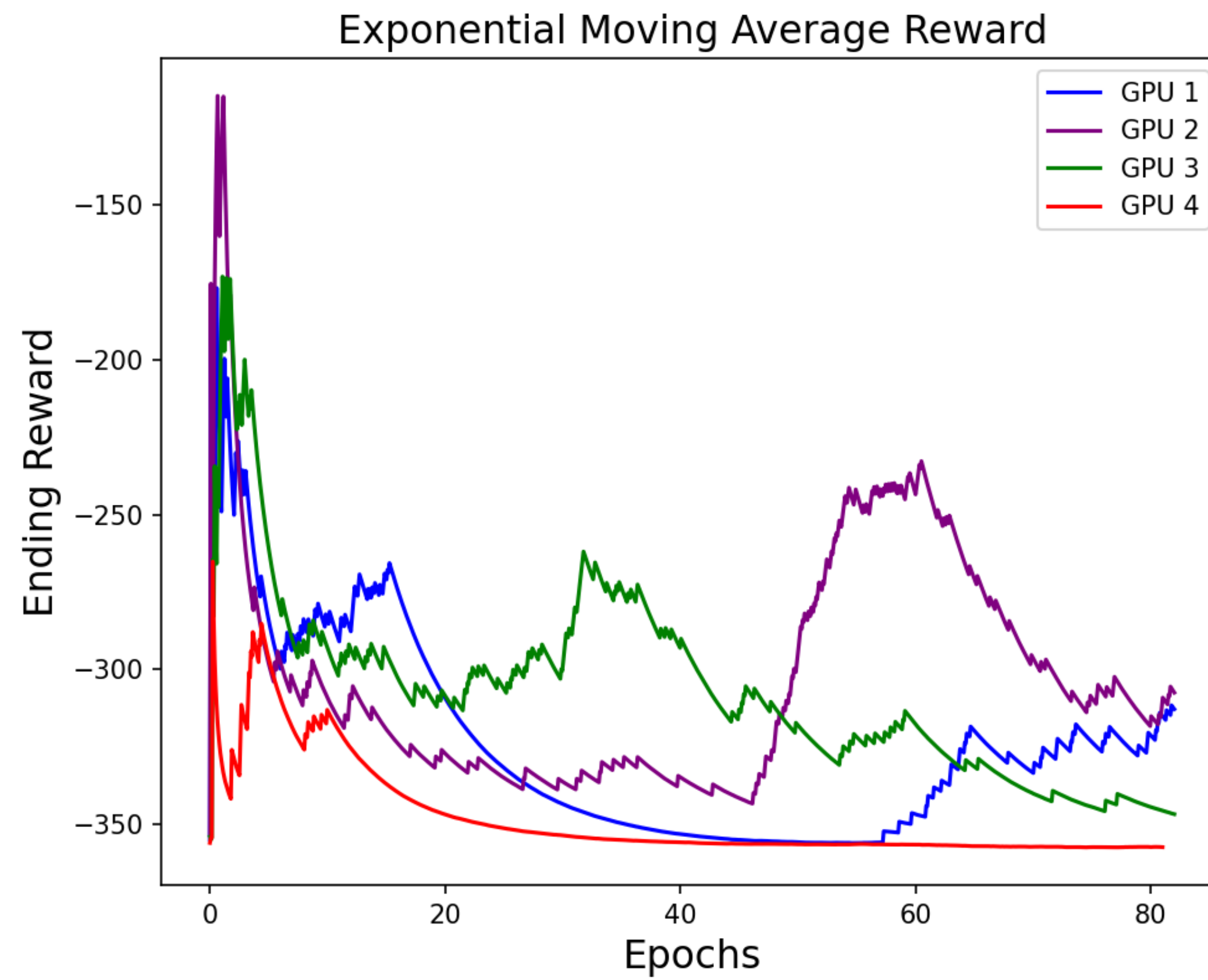
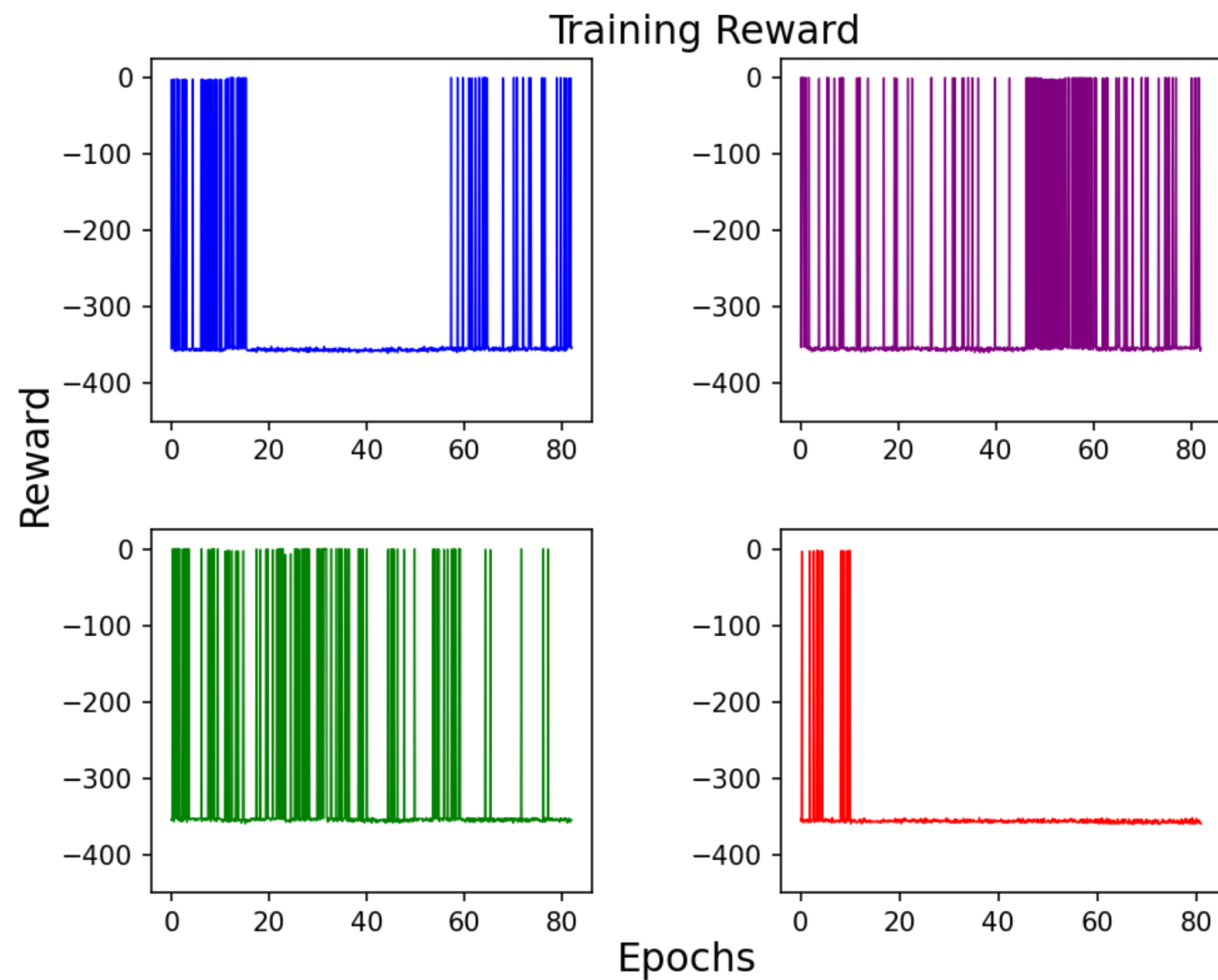
# Our Project

## Knots Between 6 and 9 Crossings



# Our Project

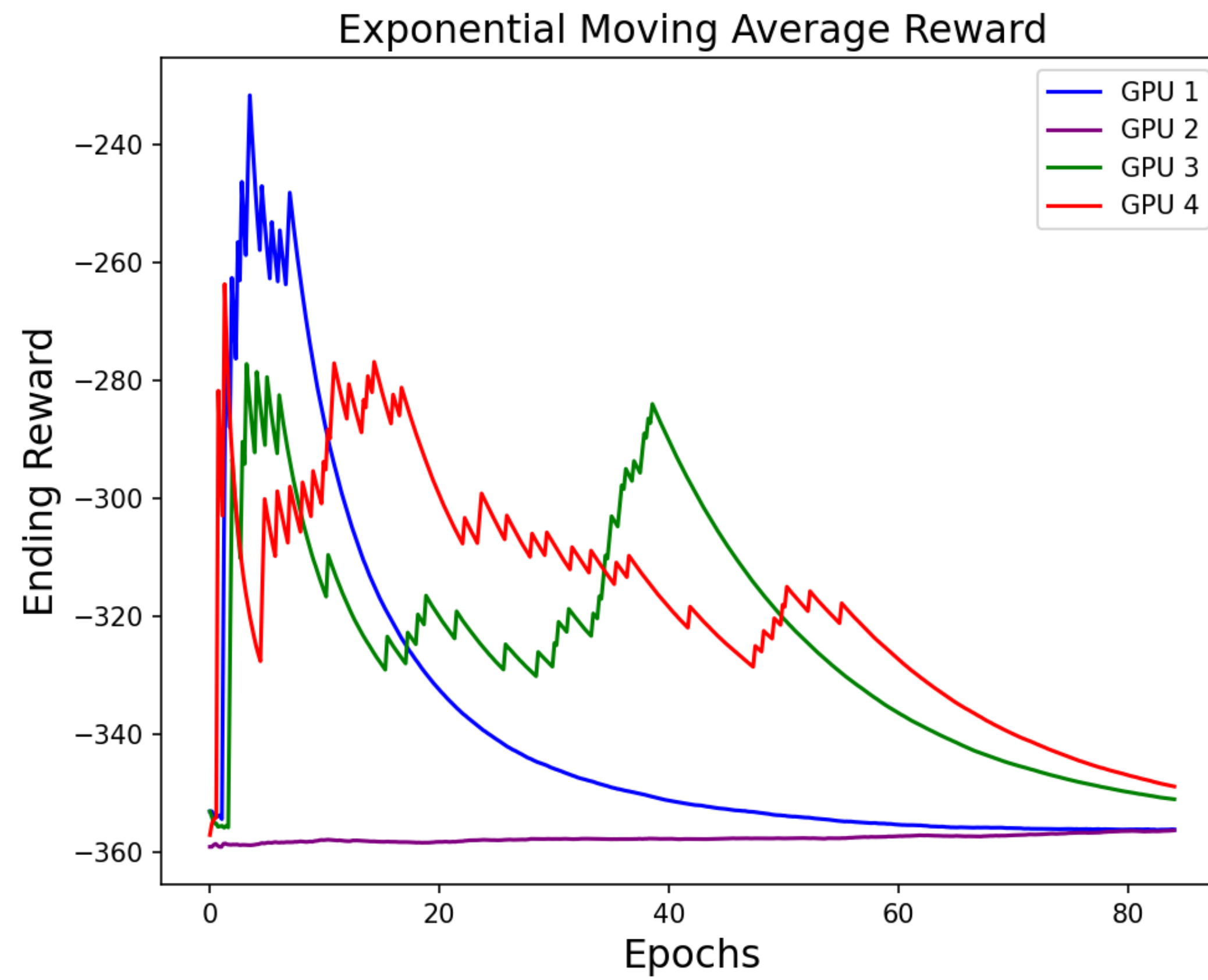
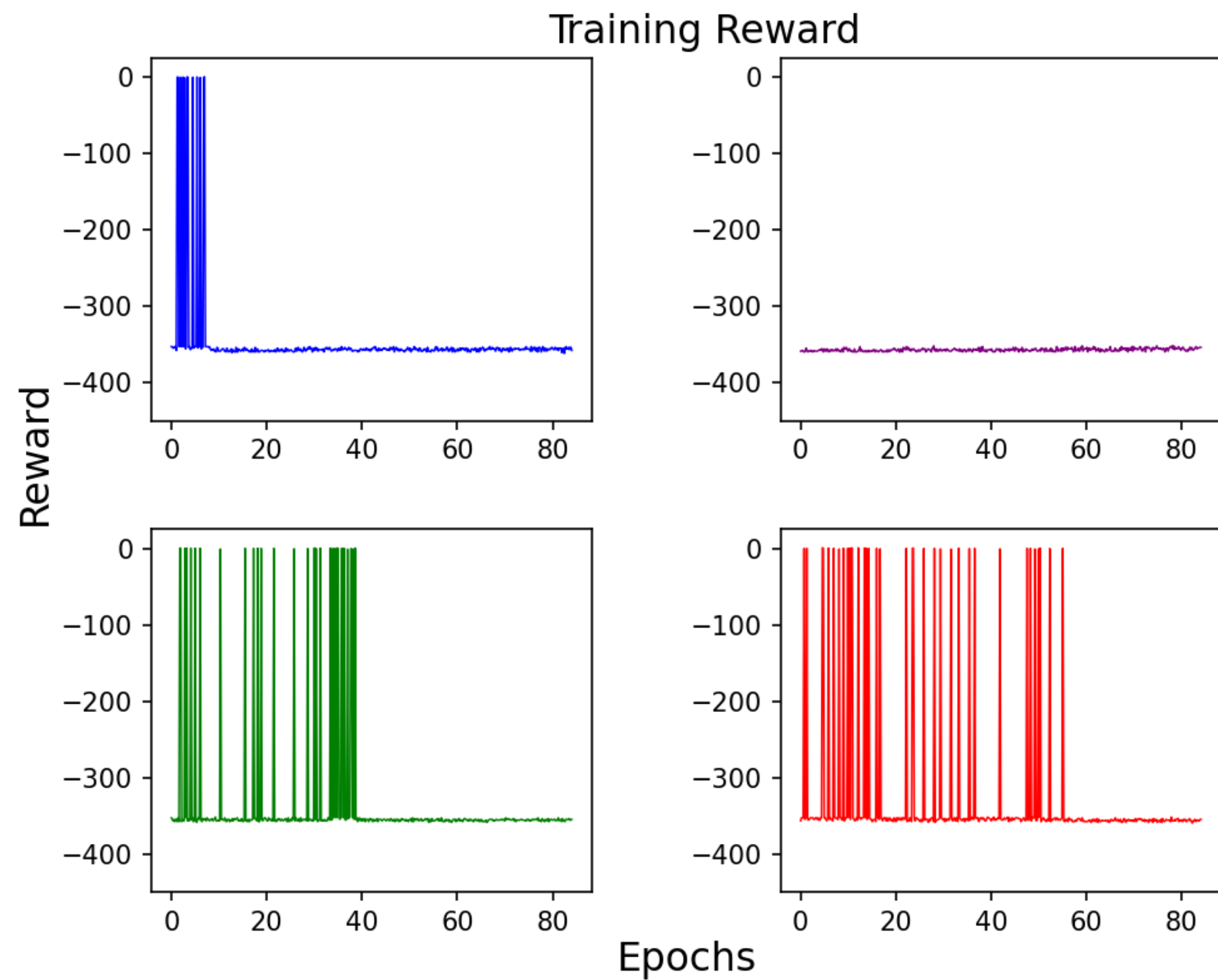
## Knots Between 6 and 10 Crossings





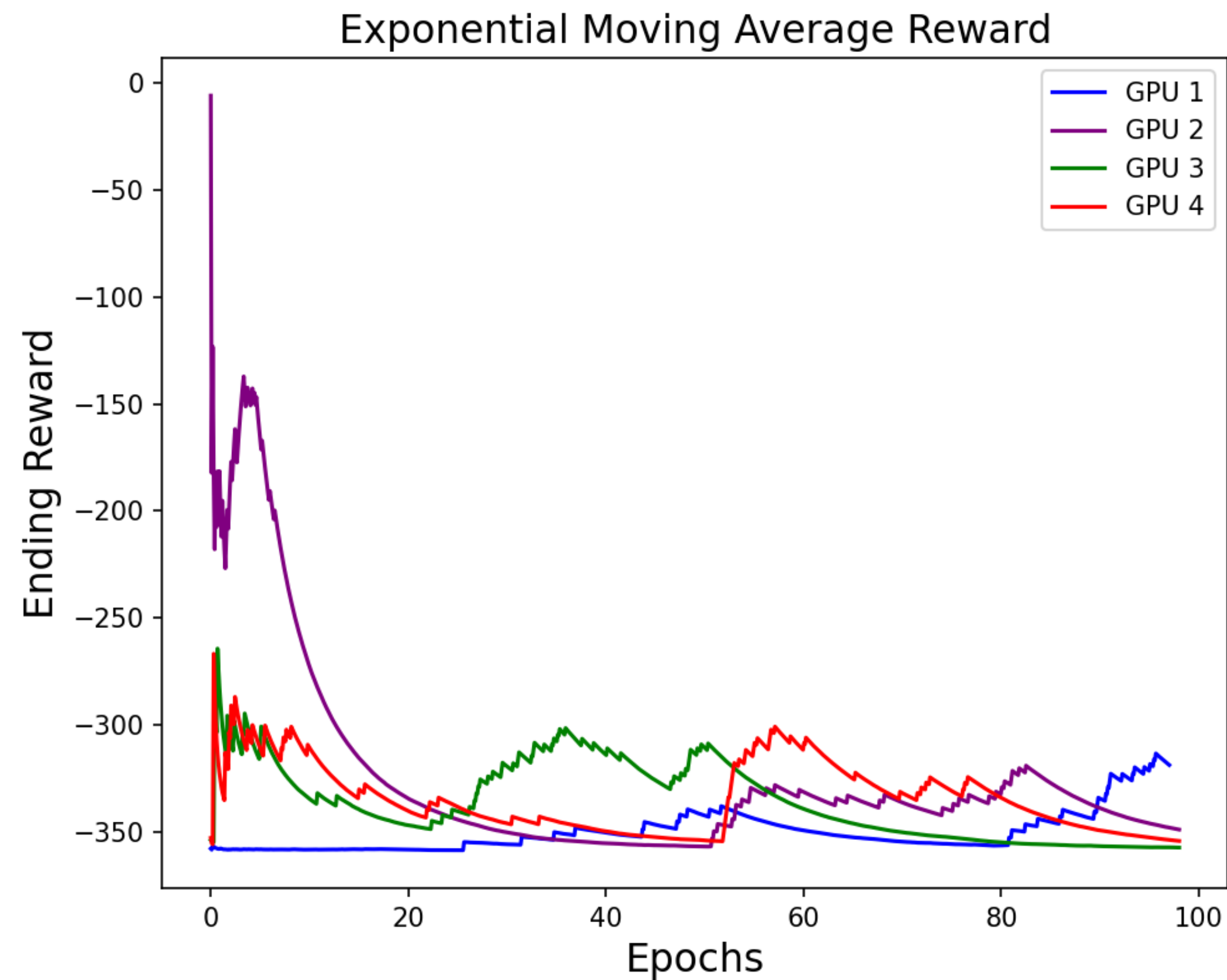
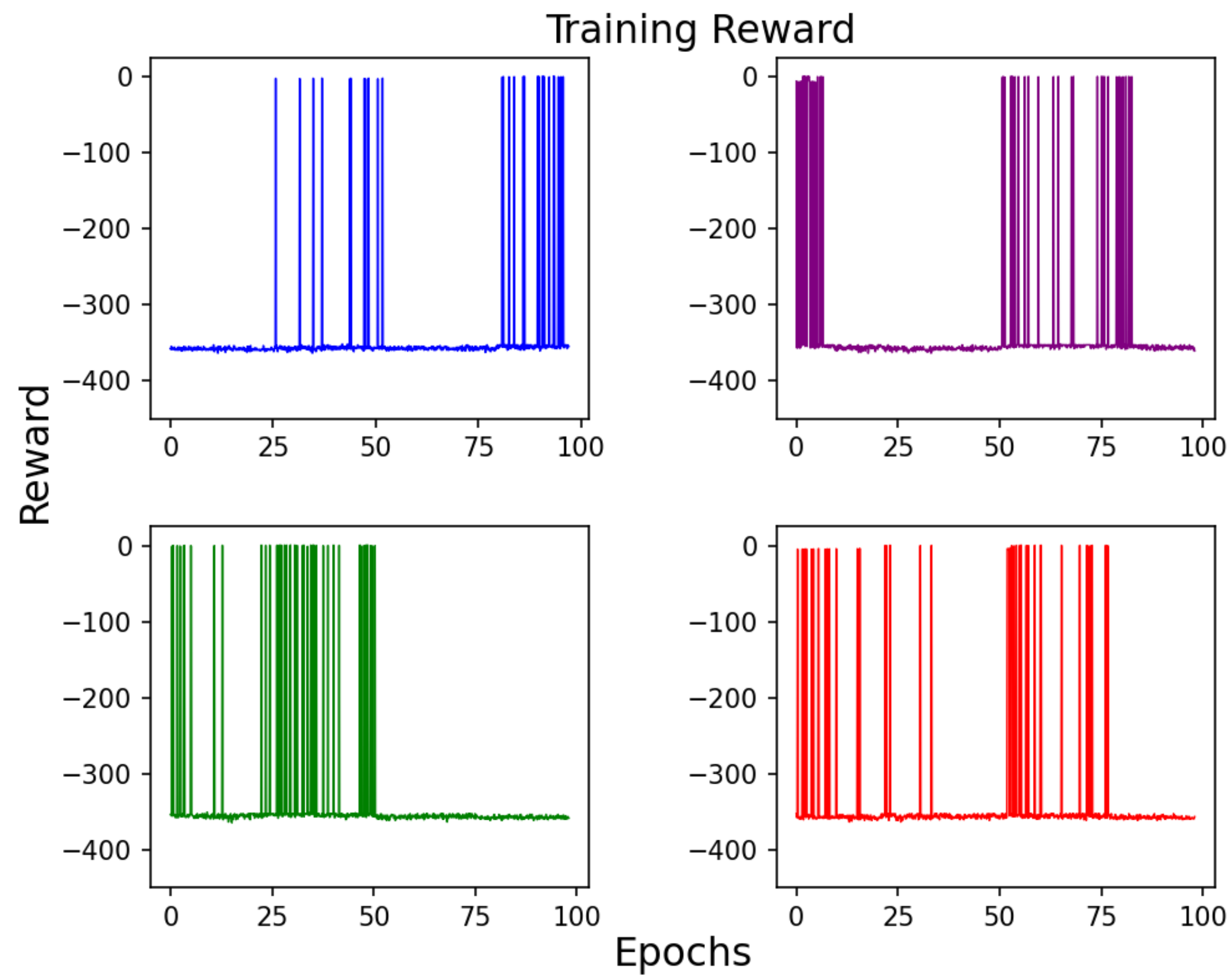
# Our Project

## Knots Between 7 and 11 Crossings



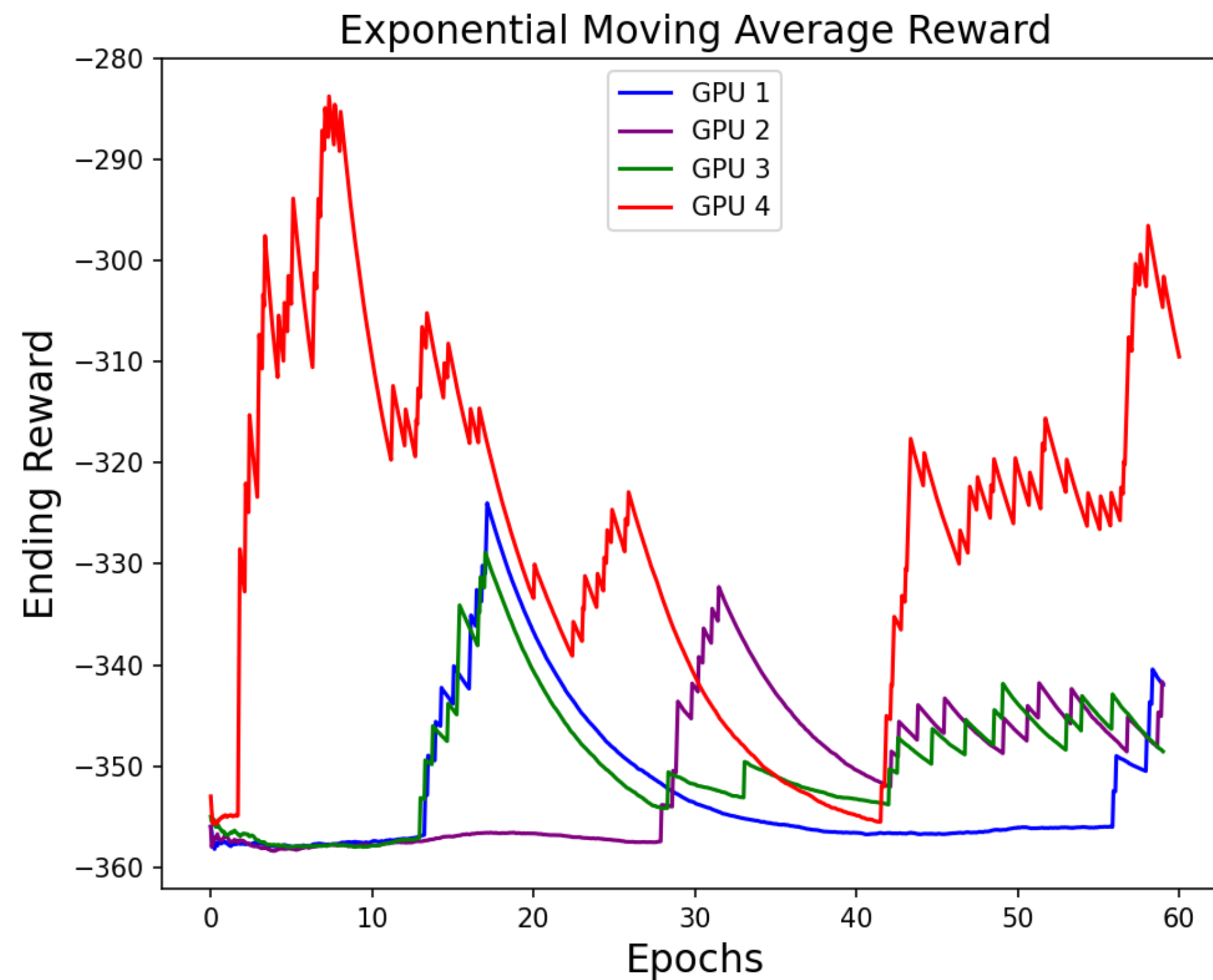
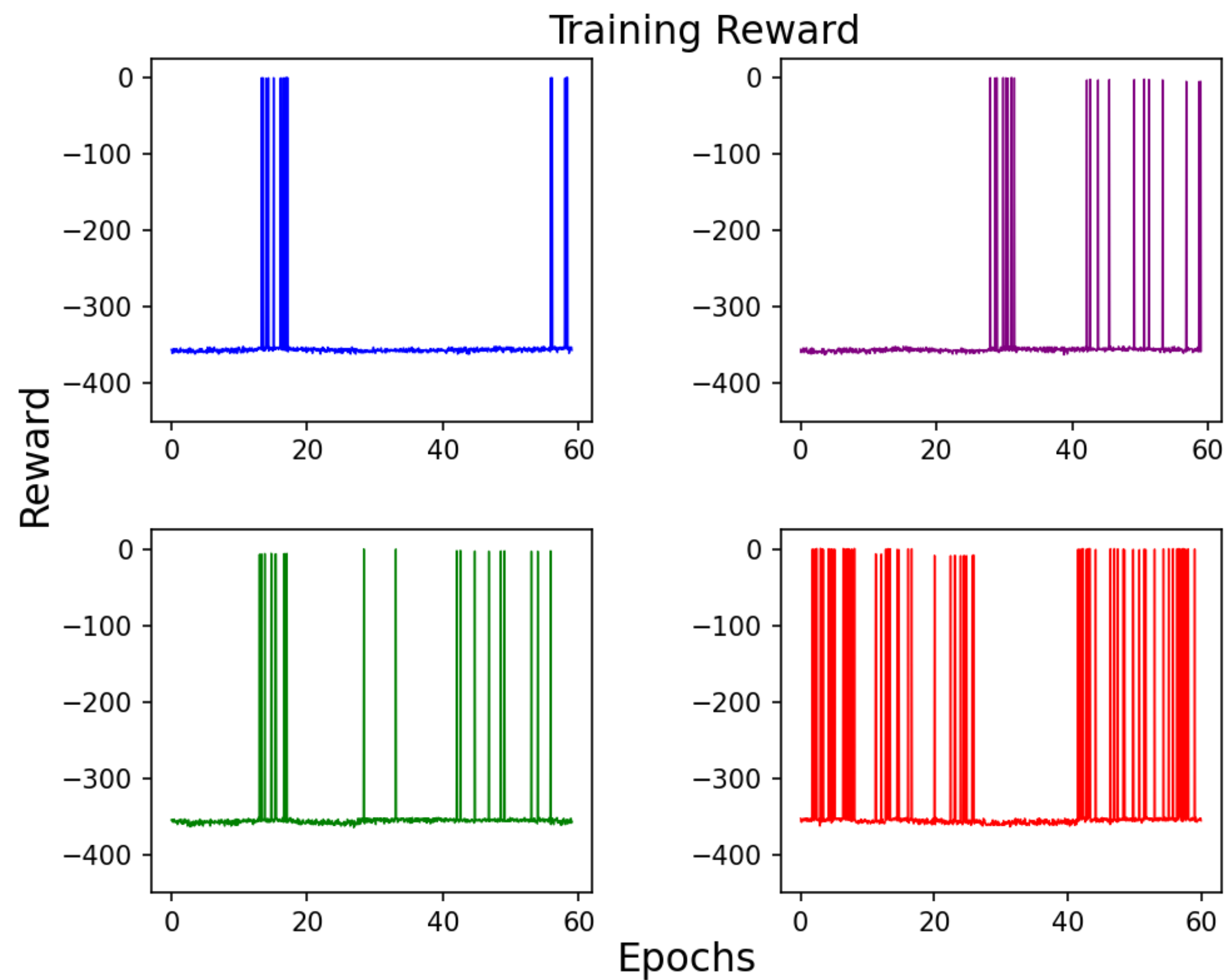
# Our Project

## Knots Between 6 and 11 Crossings



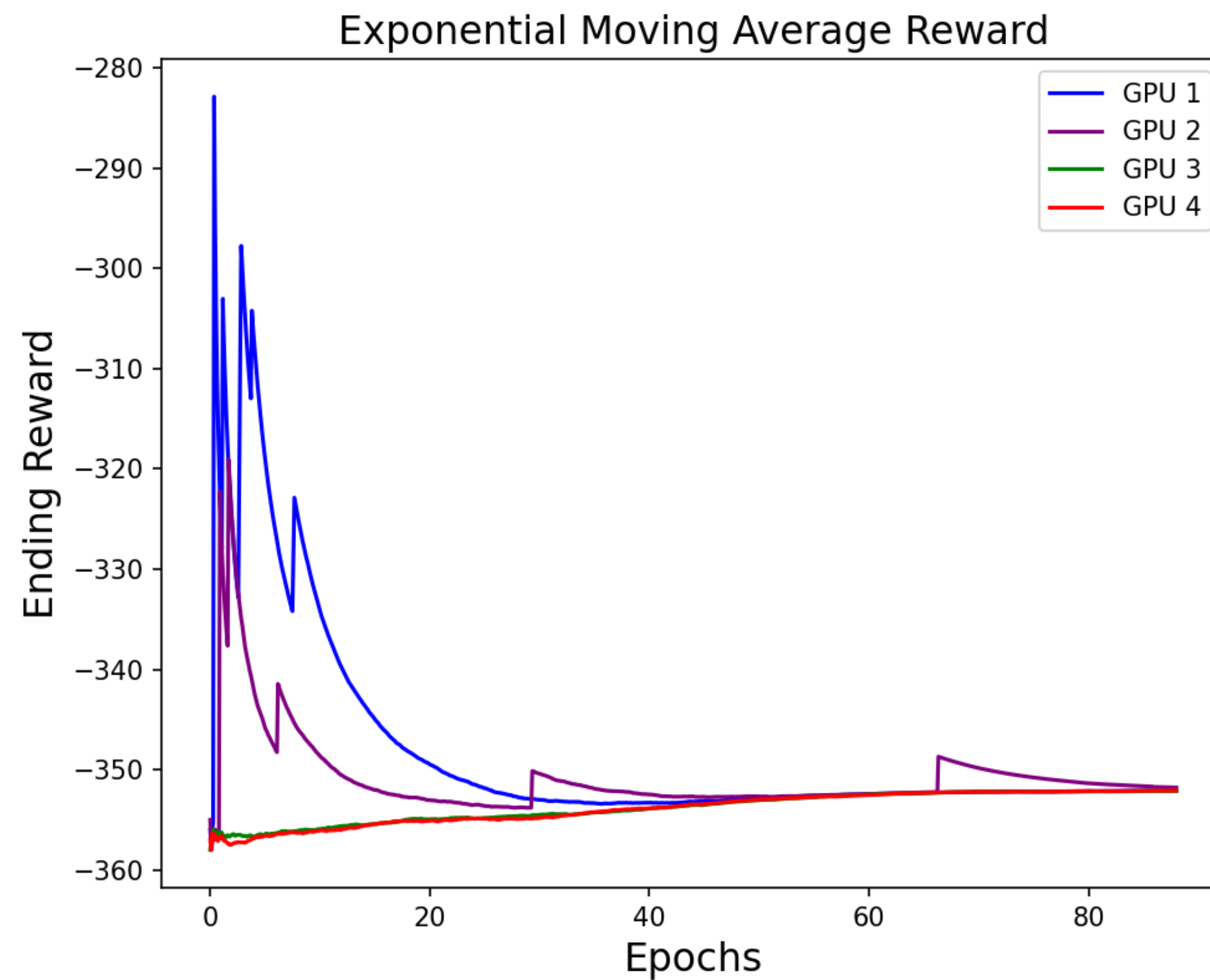
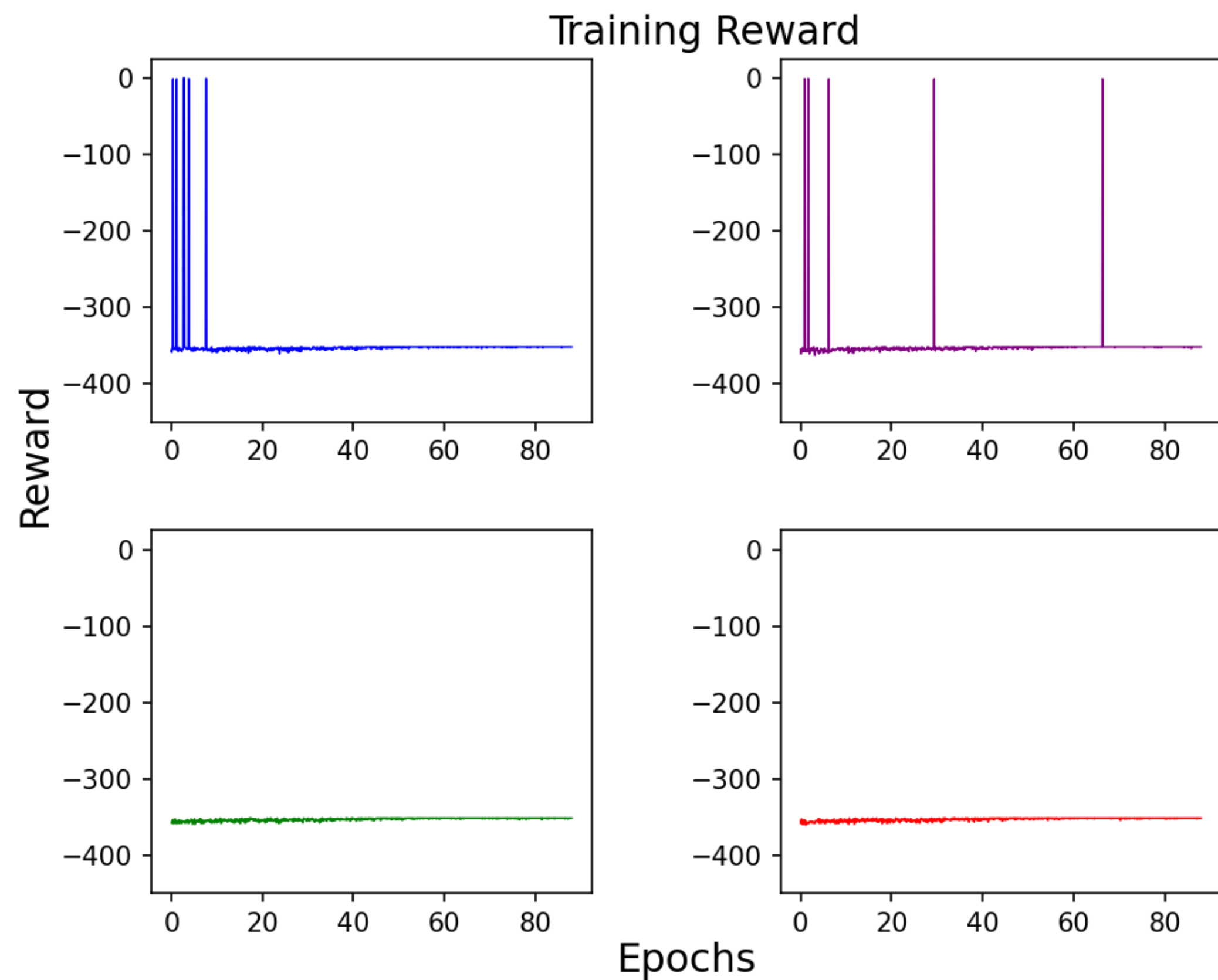
# Our Project

## Knots Between 7 and 12 Crossings



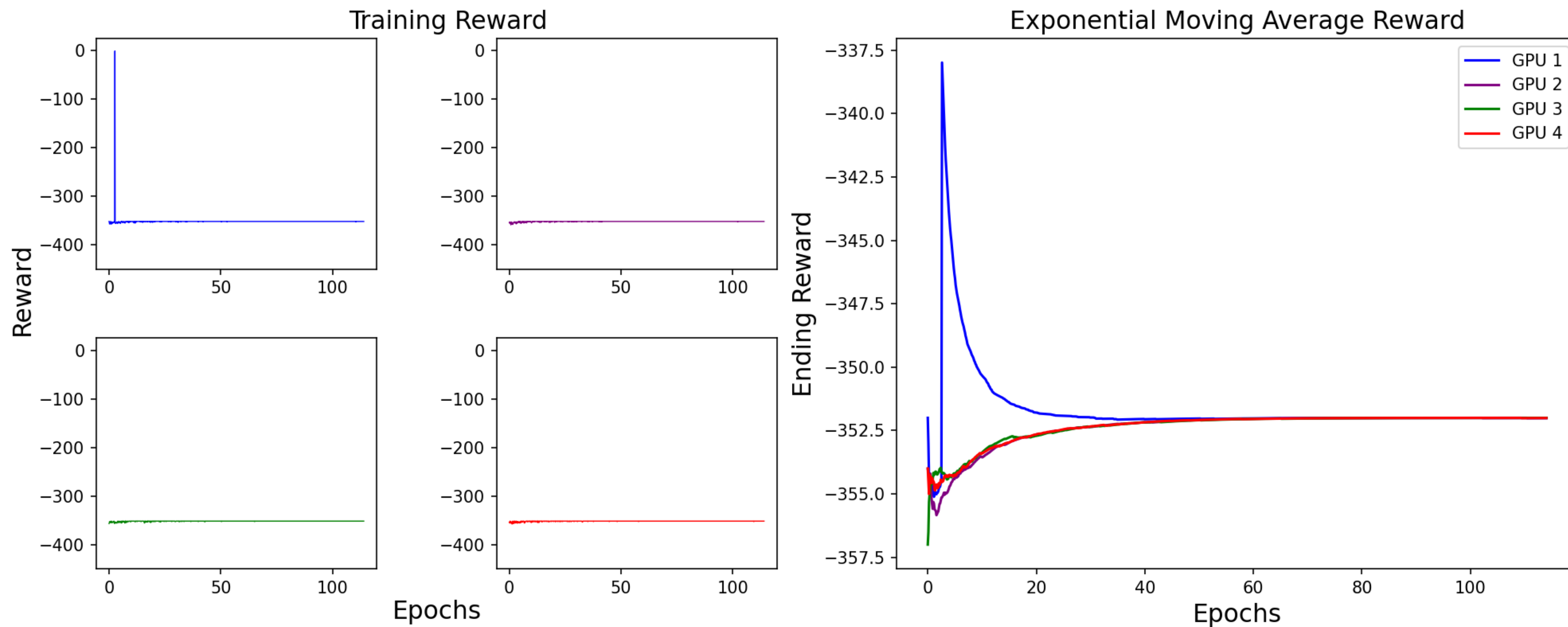
# Our Project

## Knots Between 8 and 13 Crossings



# Our Project

## Knots Between 8 and 14 Crossings



# Future Work

- Get above 13 crossings
- Increase network size
  - We ran into GPU memory issues when we made the network too large
- Maybe braids are not the best way to solve this problem
- Potentially change reward/penalty system